

<b>Name of the Issuer:</b>	Ujjivan Small Finance Bank Limited	<b>Last updated on</b>	25-Feb-22
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<b>1 Type of Issue (IPO / FPO)</b>	IPO
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<b>2 Issue Size (Rs. Cr)</b>	745.95
- Fresh Issue Size (Rs. Cr)	745.95
- Offer for Sale Component (Rs. Cr)	-
Source: Final Post Issue Report	

<b>3 Grade of issue along with name of the rating agency</b>	
<b>Name</b>	Not Applicable
<b>Grade</b>	Not Applicable

<b>4 Subscription Level (Number of times)</b>	110.25*
Source: Final Post Issue Report	
*The above figure is after technical rejections and includes anchor investor portion	

<b>5 QIB Holding (as a % of outstanding capital) as disclosed to stock exchanges</b>	
<b>Particulars</b>	<b>%</b>
(i) allotment in the issue <sup>(1)</sup>	7.92%
(ii) at the end of the 1st Quarter immediately after the listing of the issue (December 31, 2019)	10.07%
(v) at the end of 1st FY (March 31, 2020)	10.04%
(v) at the end of 2nd FY (March 31, 2021)	7.52%
(v) at the end of 3rd FY (March 31, 2022)*	Not Available
* QIB Holding not disclosed as reporting for the relevant period/ fiscal year has not been completed.	
(1) Source: Minutes of Basis of Allotment. Includes allotment to Anchor Investors.	

<b>6 Financials of the issuer</b>				(In Rs. Crore)
<b>Parameters (standalone)</b>	<b>1st FY (March 31,2020)</b>	<b>2nd FY (March 31,2021)</b>	<b>3rd FY (March 31,2022) *</b>	
Income from operations	2,703.60	2,806.07	Not Available	
Net Profit for the period	349.92	8.30	Not Available	
Paid-up equity share capital	1,728.22	1,728.31	Not Available	
Reserves excluding revaluation reserves	1,238.08	1,246.71	Not Available	
* Financials not disclosed as reporting for the relevant fiscal year has not been completed.				

<b>7 Trading Status in the scrip of the issuer</b>	
Company's Equity Shares are listed on both the BSE Limited and the NSE.	
The Shares have not been suspended or delisted.	
<b>Particulars</b>	<b>Status</b>
(i) at the end of 1st FY (March 31, 2020)	Frequently Traded
(ii) at the end of 2nd FY (March 31, 2021)	Frequently Traded
(iii) at the end of 3rd FY (March 31, 2022)*	Not Available
* Trading status not disclosed as the relevant fiscal year has not been completed.	

<b>8 Change in Directors of issuer from the disclosures in the offer document</b>		
<b>Particulars</b>	<b>Name of the Director</b>	<b>Appointed / Resigned</b>
(i) at the end of 1st FY (March 31, 2020)	Sachin Bansal	Resigned
	Sunil Vinayak Patel	Retirement and Resignation
	Luis Miranda	Resigned
	Umang Bedi	Appointed
	Biswamohan Mahapatra	Appointed
(ii) at the end of 2nd FY (March 31, 2021)	Vandana Viswanathan	Resigned

(ii) at the end of 2nd FY (March 31, 2021)\*

Rajni Anil Mishra	Appointed
Jayanta Kumar Basu	Resigned
Biswamohan Mahapatra	Resigned
Ittira Davis	Appointed
Rajesh Kumar Jogi	Appointed
Harish Devarajan	Appointed
Umesh Bellur	Appointed
Ittira Davis	Resigned
Mahadev Lakshminarayanan	Resigned
Nandlal Laxminarayan Sarda	Retirement
Mona Kachhwaha	Resigned
Harish Devarajan	Resigned
Banavar Anantharamaiah Prabhakar	Appointed
Ravichandran Venkataraman	Appointed
Samit Kumar Ghosh	Appointed
Sudha Suresh	Appointed
Nitin Chugh	Resigned
Umang Bedi	Resigned
P.N. Raghunath	Appointed
Ittira Davis	Appointed
Satyaki Rastogi	Appointed
Chitra K Alai	Resigned
Nitin Chugh	Resigned
Prabal Kumar Sen	Retired

(iii) at the end of 3rd FY (March 31, 2022)\*

Source: Stock Exchange Filings  
\* Updated till Feb 25, 2022

#### 9 Status of implementation of project/ commencement of commercial production

(i) as disclosed in the offer document	Not applicable
(ii) Actual implementation	Not applicable
(iii) Reasons for delay in implementation, if any	Not applicable

#### 10 Status of utilization of issue proceeds

(i) as disclosed in the offer document

The objects of the Issue are to augment Bank's Tier – 1 capital base to meet Bank's future capital requirements such as organic growth and expansion and to comply with regulatory requirements for enhanced capital base, as may be prescribed in the future. The amount to be funded from Net Proceeds and proposed to be deployed in Fiscal 2020 towards augmentation of the Bank's Tier-1 capital base is ₹704.261 crs

Source: Prospectus dated December 5, 2019

(ii) Actual utilization

(In Rs. Crore)

Particulars	Amount proposed to be funded from Proceeds	Amount utilized up to December 31, 2019
Augment to Tier-I capital base to meet future capital requirements of the Bank*	745.95	745.95

\*includes issue expenses

(iii) Reasons for deviation, if any

No deviation

#### 11 Comments of monitoring agency, if applicable

Not applicable

12 Pricing Data

Issue Price (Rs.): 37 (a discount of Rs. 2 per equity share was offered to eligible shareholders of Ujivan Financial Services Limited bidding in the shareholder reservation portion)  
 Designated Stock Exchange: NSE  
 Listing Date: 12-Dec-19

Price parameters	At close of listing day (Dec 12, 2019)	At close of 30th calendar day from listing day (Jan 10, 2020) <sup>(1)</sup>	At close of 90th calendar day from listing day (Mar 10, 2020) <sup>(2)</sup>	As at the end of 1st FY after the listing of the issue (March 31, 2020) <sup>(3)</sup>			As at the end of 2nd FY after the listing of the issue (March 31, 2021) <sup>(3)</sup>			As at the end of 3rd FY after the listing of the issue (March 31, 2022) <sup>(4)</sup>		
				Closing price	High (during the FY)	Low (during the FY)	Closing price	High (during the FY)	Low (during the FY)	Closing price	High (during the FY)	Low (during the FY)
Market Price on Designated Stock Exchange	55.95	52.20	40.80	27.30	62.80	23.10	30.50	44.60	25.10	Not Available	Not Available	Not Available
Nifty 50	11,971.80	12,256.80	10,451.45	8,597.75	12,430.50	7,511.10	14,690.70	15,431.75	8,055.80	Not Available	Not Available	Not Available
Nifty Bank <sup>(5)</sup>	31,665.45	32,097.40	26,462.60	19,144.00	32,613.10	16,116.25	33,303.90	37,708.75	17,105.00	Not Available	Not Available	Not Available

Note:

- (1) 30th calendar day shall be taken as listing date plus 29 calendar days.
- (2) 90th calendar day shall be taken as listing date plus 89 calendar days. As March 10, 2020 is a trading holiday, the previous trading day has been considered, ie, March 9, 2020.
- (3) High and Low based on intra day prices.
- (4) Pricing data not disclosed as the relevant fiscal year has not completed.
- (5) Nifty Bank Index represents the relevant sectoral index
- (6) Given that the Bank was listed on December 12, 2019, the data is presented for the period beginning on December 12, 2019

13 Basis for Issue Price

Accounting ratio	As disclosed in offer document, at the end of FY 2019 <sup>(1)</sup>	At the end of 1st FY (March 31, 2020)	At the end of 2nd FY (March 31, 2021)	At the end of 3rd FY (March 31, 2022) <sup>(2)</sup>
<b>Issuer:</b>				
		1.2	2.19	0.05
				Not Available
<b>Peer Group:</b>				
		13.16	22.78	38.19
				Not Available
EPS (basic)		5.18	6.03	4.30
				Not Available
		16.36	18.78	13.70
				Not Available
		23.37	23.20	9.52
				Not Available
		20.25	11.04	9.35
				Not Available
		10.53	10.90	10.82
				Not Available
		<b>N.A.</b>	<b>N.A.</b>	<b>N.A.</b>
<b>Industry Avg:</b>				
<b>Issuer:</b>				
		30.83	12.47	610.00
				Not Available
<b>Peer Group:</b>				
		61.74	22.18	32.11
				Not Available
P/E		20.40	7.07	20.30
				Not Available
		34.83	10.85	24.73
				Not Available
		35.16	14.54	70.43
				Not Available
		18.41	12.27	22.19
				Not Available
		16.84	8.70	9.49
				Not Available
		<b>31.23</b>	<b>12.60</b>	<b>29.87</b>
				Not Available
<b>Industry Avg:</b>				
<b>Issuer:</b>				
		9.49	11.80	0.28
				Not Available
<b>Peer Group:</b>				
		12.07	15.42	18.74
				Not Available
		7.24	7.40	0.08
				Not Available
RoNW (%)		17.42	19.90	12.67
				Not Available
		13.18	12.27	3.92
				Not Available
		11.43	4.73	-
				Not Available
		10.44	10.61	9.52
				Not Available
		<b>N.A.</b>	<b>N.A.</b>	<b>N.A.</b>
<b>Industry Avg:</b>				
<b>Issuer:</b>				
		12.64	17.16	95.29
				Not Available
<b>Peer Group:</b>				
		108.19	143.92	200.13
				Not Available
		71.57	81.44	52.19
				Not Available

NAV per share	Bandhan Bank Limited	93.89	94.37	108.09	Not Available
	CreditAccess Grameen Ltd	164.75	189.90	233.63	Not Available
	RBL Bank Limited	176.58	207.67	211.74	Not Available
	DCB Bank Limited	100.65	102.56	113.55	Not Available
	<b>Industry Avg:</b>	<b>N.A.</b>	<b>N.A.</b>	<b>N.A.</b>	<b>N.A.</b>

<b>Notes:</b>					
(1) Sourced from Prospectus dated December 12, 2019					
(2) Information not provided as the relevant fiscal year has not completed					
Key ratios for the Company for the three fiscal years stated above shall be calculated as follows:					
(i) Basic EPS refers to the Basic EPS sourced from the annual reports of the respective company					
(ii) P/E - Closing Market Price as of relevant fiscal year end or period / EPS					
(iii) RoNW - Computed as net profit after tax divided by closing net worth. Net worth has been computed as sum of share capital and reserves					
(iv) NAV per share - Closing net worth divided by the equity shares outstanding					

#### 14 Any other material information

Date of disclosure to Designated Stock Exchange	Announcement
16-12-2019	Bank has formulated Code of Conduct for Prevention of Insider Trading and Code of Fair Disclosure and Conduct ("Code") pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015, as amended and the same is submitted
18-12-2019	Pursuant to Regulation 30(5) of SEBI Listing Regulations, the Board of Directors of Ujjivan Small Finance Bank Limited ("Bank") has authorized the Key Managerial Personnel (KMPs) comprising of the Managing Director and CEO, Chief Financial Officer and Company Secretary of the Bank to determine the materiality of an event or information under Regulation 30 of SEBI Listing Regulations.
26-12-2019	Pursuant to Code of Conduct for Prevention of Insider Trading of Ujjivan Small Finance Bank Limited, the Trading Window for dealing in Equity Shares of Ujjivan Small Finance Bank Limited ("Bank") by its designated persons and their dependent(s) shall be closed from January 01, 2020. The Trading Window will open 48 (Forty Eight) hours after the announcement /declarations of the financial results of the Bank for the quarter ended December 31, 2019.
13-01-2020	Statement of Investor complaints for the quarter ended December 31, 2019:  No. of Investor complaints pending at the beginning of the quarter: 0 No. of Investor complaints received during the quarter: 445 No. of Investor complaints disposed of during the quarter: 445 No. of Investor complaints unresolved at the end of the quarter: 0
13-01-2020	Ujjivan Small Finance Bank Ltd has informed BSE that the meeting of the Board of Directors of the Company is scheduled on 22/01/2020, inter alia, to consider and approve Pursuant to Regulation 29 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby inform you that a meeting of the Board of Directors of the Bank will be held on Wednesday, January 22, 2020 to consider and approve, inter-alia, unaudited financial results for the quarter ended December 31, 2019.  Further, the Trading Window for dealing in Equity Shares of the Bank by its designated persons and their dependent(s) has already been closed from January 01, 2020 and will open after 48 (Forty Eight) hours after the announcement /declarations of aforesaid financial results.
14-01-2020	Pursuant to Regulation 47 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, a notice of meeting of the Board of Directors of the Bank was published and appeared in Financial Express (All Editions) and Jansatta (Delhi Edition) on January 14, 2020 intimating that a meeting of the Board of Directors of the Bank will be held on Wednesday, January 22, 2020 to consider and approve, inter-alia, Un-audited financial results of the Bank for the quarter ended December 31, 2019
14-01-2020	Compliances-Certificate under Reg. 74 (5) of SEBI (DP) Regulations, 2018 submitted
22-01-2020	Results for the Quarter ended December 31, 2019 together with the press release and the investor presentation submitted
22-01-2020	Intimation of retirement of Mr. Sunil Vinayak Patel (DIN: 00050837) as Part-Time Chairman and Resignation as an Independent Director of the Bank and identification of successor for appointment as Part-Time Chairman
22-01-2020	Audit Committee and Board of Directors of the Bank has reviewed, at their respective meetings held on Wednesday, January 22, 2020, a statement of deviation/variation on use of proceeds of Public Issue of the Bank. The same has been submitted.
23-01-2020	Financials Results for the quarter and nine months ended December 31, 2019 was published and appeared in Financial Express (All Editions) and Jansatta (Delhi Edition) on January 23, 2020.
23-01-2020	Statement of Deviation / Variation in utilisation of funds raised under Initial Public Offer of the Bank for the quarter ended December 31, 2019 was published and appeared in Financial Express (All Editions) and Jansatta (Delhi Edition) on January 23, 2020.
28-01-2020	Bank has received a letter dated January 27, 2020 from Mr. Sachin Bansal (DIN: 02356346) tendering his resignation as an Independent Director of the Bank w.e.f January 27, 2020
29-01-2020	Earnings Call Transcript-Q3 of FY2020 submitted
04-02-2020	Statement Of Utilisation Of Funds Raised Under Initial Public Offer (IPO) Of The Bank And Intimation Under Regulation 32 Of The Securities And Exchange Board Of India (Listing Obligations And Disclosure Requirements) Regulations, 2015 submitted
13-02-2020	Corporate Identification Number (CIN) of the Bank has changed pursuant to listing of the Bank with Stock Exchanges. Copy of Bank's Master Data from Ministry of Corporate Affairs (MCA) site is submitted. New CIN of the Bank: L65110DL2016PLC302481
02-03-2020	Update on Credit Rating of Certificate of Deposits Programme of the Bank submitted
23-03-2020	Intimation of Resignation by an Independent Director of the Bank submitted
23-03-2020	Intimation of Appointment of Mr. Umang Bedi (DIN: 02432920) as an Additional Director (Independent) of the Bank w.e.f April 01, 2020 submitted
24-03-2020	The Exchange has sought clarification from Ujjivan Small Finance Bank Ltd on 23rd March, 2020 with reference to significant movement in price, in order to ensure that investors have latest relevant information about the company and to inform the market so that the interest of the investors is safeguarded.
24-03-2020	Reply to clarification sought with reference to significant movement in price submitted  This is to inform you that pursuant to Code of Conduct for Prevention of Insider Trading of Ujjivan Small Finance Bank Limited, the Trading Window for dealing in Equity Shares of Ujjivan Small Finance Bank Limited ("Bank") by its designated persons and their dependent(s) shall be closed from April 01, 2020.
26-03-2020	The Trading Window will open 48 (Forty Eight) hours after the announcement /declarations of the Audited Financial Results of the Bank for the quarter and financial year ended March 31, 2020.  The date of meeting of Board of Director of the Bank for consideration and approval of Audited Financial Results of the Bank for the quarter and financial
09-04-2020	Statement of Investor complaints for the quarter ended March 31, 2020:  No. of Investor complaints pending at the beginning of the quarter: 0 No. of Investor complaints received during the quarter: 29 No. of Investor complaints disposed of during the quarter: 29 No. of Investor complaints unresolved at the end of the quarter: 0
09-04-2020	Certificate under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended March 31, 2020 submitted
09-04-2020	Compliance certificate under Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended March 31, 2020 submitted

13-04-2020	Certificate Under Regulation 40(10) of the SEBI (LODR) Regulations, 2015 submitted
28-04-2020	Appointment of Mr. Biswamohan Mahapatra as Part-Time Chairman (Non-Executive) of the Bank for a period of 3 years w.e.f. the date of RBI approval i.e April 28, 2020
11-05-2020	Ujivan Small Finance Bank Ltd has informed that the meeting of the Board of Directors of the Company is scheduled on 19/05/2020 ,inter alia, to consider and approve ,inter-alia, Audited Financial Results of the Bank for the quarter and financial year ended March 31, 2020
12-05-2020	Intimation about publication of Notice of meeting of the Board of Directors of Ujivan Small Finance Bank Limited ('Bank') in Newspaper
19-05-2020	Approval of the Audited Financial Results of the Bank for the quarter and financial year ended March 31, 2020 along with the Audit Report thereon issued by the statutory auditors of the Bank, M/s MSKA & Associates, Chartered Accountants
20-05-2020	Filing of revised investor presentation on financial and business performance of the Bank for quarter and financial year ended March 31, 2020
21-05-2020	Annual Secretarial Compliance Report for the Financial Year ended March 31, 2020 submitted
26-05-2020	Earning Call Transcript submitted
05-06-2020	Disclosure under Regulation 23(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 pertaining to related party transactions
26-06-2020	Intimation for Closure of Trading Window submitted Statement of Investor complaints for the quarter ended June 30, 2020:
09-07-2020	No.of Investor complaints pending at the beginning of the quarter: 0 No.of Investor complaints received during the quarter:3 No.of Investor complaints disposed of during the quarter: 3 No.of Investor complaints unresolved at the end of the quarter: 0
10-07-2020	The Exchange has sought clarification from Ujivan Small Finance Bank Ltd on July 10, 2020 with reference to significant movement in price, in order to ensure that investors have latest relevant information about the company and to inform the market so that the interest of the investors is safeguarded.
10-07-2020	With reference to significant movement in price, in order to ensure that investors have latest relevant information about the company and to inform the market so that the interest of the investors is safeguarded, Ujivan Small Finance Bank Ltd has submitted to BSE a copy of Clarification is submitted
12-07-2020	Certificate under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended June 30, 2020 submitted
23-07-2020	Ujivan Small Finance Bank Ltd has informed that the meeting of the Board of Directors of the Company is scheduled on 31/07/2020 ,inter alia, to consider and approve the Unaudited Financial Results of the Bank for the quarter ended June 30, 2020.
24-07-2020	Intimation about publication of Notice of meeting of the Board of Directors of Ujivan Small Finance Bank Limited ('Bank') in Newspapers
31-07-2020	Board of Directors of the Bank, in its meeting held on July 31,2020, has considered and approved, inter alia, unaudited Financial Results of the Bank for the quarter ended June 30, 2020 along with the Limited Review Report thereon issued by the statutory auditors of the Bank, M/s MSKA & Associates, Chartered Accountants
31-07-2020	Inadvertent violation of Code of Conduct for Prevention of Insider Trading of Ujivan Small Finance Bank Limited by Spouse of a Designated Person
01-08-2020	Intimation about publication of Financials Results for the quarter ended June 30, 2020
03-08-2020	Pursuant to applicable Regulation(s) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015,
06-08-2020	Transcript of concall held on August 01, 2020 submitted
10-08-2020	Copy of Annual Report of the Bank for the Financial Year 2019-20 ('Annual Report') along with Notice of the 4th Annual General Meeting ('AGM') of the Members of the Bank Further to Letter bearing reference number CSR/C/S/SE/2020-21/30 dated July 31, 2020 intimating that the 4th Annual General Meeting (AGM) of Bank will be held on Wednesday, September 02, 2020 at 03.30 p.m. IST through Video Conferencing/Other Audio-Visual Means in compliance provisions of the Companies Act, 2013 and rules made thereunder read with relevant Circulars, we hereby submit a copy of the Notice of 4th AGM of the Bank.
10-08-2020	The dispatch by electronic means of the Notice of 4th AGM and Annual Report for the Financial Year 2019-20 to the shareholders of the Bank shall Intimation of newspaper advertisement - Post dispatch of the Notice of 4th Annual General Meeting of the Bank
03-09-2020	Outcome and Proceeding of the 4th Annual General Meeting of Ujivan Small Finance Bank Limited held on Wednesday, September 02, 2020 submitted
03-09-2020	Consolidated Scrutinizer's Report and Voting results in the format prescribed under Regulation 44 of the SEBI (LODR) Regulations, 2015 w.r.t 4th AGM of
03-09-2020	Ujivan Small Finance Bank Ltd has informed regarding the details of Voting results of AGM, under Regulation 44(3) of SEBI (LODR) Regulations, 2015.
15-09-2020	Pursuant to applicable Regulation(s) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, inform that an Advertisement was published and appeared in today's Financial Express (Delhi Edition) and Jansatta (Delhi Edition) - registered office shifted to Bengaluru Statement of Investor complaints for the quarter ended September 30, 2020:
07-10-2020	No.of Investor complaints pending at the beginning of the quarter: 0 No.of Investor complaints received during the quarter:3 No.of Investor complaints disposed of during the quarter: 3 No.of Investor complaints unresolved at the end of the quarter: 0
08-10-2020	Compliance certificate under Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended September 30, 2020 submitted
08-10-2020	Certificate under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended September 30, 2020 submitted
13-10-2020	Certificate under Regulation 40(10) of SEBI (LODR) Regulations, 2015 submitted
27-10-2020	Ujivan Small Finance Bank Ltd has informed BSE that the meeting of the Board of Directors of the Company is scheduled on 07/11/2020 ,inter alia, to consider and approve Audited Financial Results of the Bank for the quarter and half year ended September 30, 2020
28-10-2020	Intimation about publication of Notice of meeting of the Board of Directors of Ujivan Small Finance Bank Limited ('Bank') in Newspapers
03-11-2020	Intimation of resignation of Independent Director of the Bank
07-11-2020	Outcome Of The Meeting Of The Board Of Directors-Approval Of Audited Financial Results For The Quarter And Half Year Ended September 30, 2020 submitted
07-11-2020	Intimation of allotment of Equity Shares pursuant to Employee Stock Purchase Scheme 2019 of Ujivan Small Finance Bank Limited
08-11-2020	Intimation about publication of Audited Financials Results for the quarter and half year ended September 30, 2020
12-11-2020	Transcript of Conference Call held on November 07, 2020 submitted
24-11-2020	The Exchange has sought clarification from Ujivan Small Finance Bank Ltd on November 24, 2020, with reference to Movement in Volume.
24-11-2020	With reference to Movement in Volume, Ujivan Small Finance Bank Ltd has submitted to BSE
25-11-2020	Disclosure under Regulation 23(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the period ended Sep 30, 2020
26-11-2020	Intimation for Shifting of Registered Office of Ujivan Small Finance Bank Limited ('Bank or Company') from New Delhi, the 'National Capital Territory ('NCT') of Delhi to Bengaluru, the 'State of Karnataka'
14-12-2020	Alteration in Memorandum of Association of the Bank consequent to change in Registered Office
15-12-2020	Appointment of Mrs. Rajni Anil Mishra (DIN: 08386001) as an Additional Director (Independent) of the Bank w.e.f. December 16, 2020
21-12-2020	Intimation for Closure of Trading Window Statement of Investor complaints for the quarter ended December 31, 2020:
08-01-2021	No.of Investor complaints pending at the beginning of the quarter: 0 No.of Investor complaints received during the quarter:2 No.of Investor complaints disposed of during the quarter: 2 No.of Investor complaints unresolved at the end of the quarter: 0
08-01-2021	The Exchange has sought clarification from Ujivan Small Finance Bank Ltd on January 8, 2021, with reference to Movement in Volume.
08-01-2021	With reference to Movement in Volume, please find attached a copy of Clarification
08-01-2021	Certificate under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended December 31, 2020
19-01-2021	Intimation of allotment of Equity Shares under Ujivan Small Finance Bank-Employee Stock Option Plan 2019 ('ESOP 2019')
20-01-2021	Resignation of Mr. Jayanta Kumar Basu as Non-Executive Director of the Bank
27-01-2021	Ujivan Small Finance Bank Ltd has informed BSE that the meeting of the Board of Directors of the Company is scheduled on 03/02/2021 ,inter alia, to consider and approve Unaudited Financial Results of the Bank for the quarter and nine months ended December 31, 2020
28-01-2021	Newspaper Publication of Notice of meeting of the Board of Directors of Ujivan Small Finance Bank Limited

03-02-2021	Financial Results for the quarter and nine months ended December 31, 2020
04-02-2021	Newspaper Publication of Financials Results for the quarter and nine months ended December 31, 2021.
06-02-2021	Transcript of Conference Call held on February 03, 2021
16-02-2021	Allotment of 37,229 equity shares under ESOP
20-02-2021	Resignation of Mr. Biswamohan Mahapatra (DIN: 06990345), Part-Time Chairman and Independent Director of the Bank
05-03-2021	The Exchange has sought clarification from Ujivan Small Finance Bank Ltd on March 5, 2021, with reference to Movement in Volume.
05-03-2021	Reply to your email bearing Ref. No. L/SURV/ONL/PV/GS/ 2020-2021 / 394 dated March 05, 2021 seeking clarification on increase in the volume of our security
13-03-2021	Intimation of Appointment of Part-Time Chairman and Directors
15-03-2021	Allotment of equity shares under ESOP
24-03-2021	Intimation for Closure of Trading Window
30-03-2021	Credit Rating Update
31-03-2021	Ujivan Small Finance Bank Limited ('Bank') has acquired 200 equity shares of face value of Rs. 10 each fully paid up, of Vishvakarma Payments Private
07-04-2021	Intimation under SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015- Key Business Numbers
	Statement of Investor complaints for the quarter ended March 31, 2021:
09-04-2021	No. of Investor complaints pending at the beginning of the quarter: 0 No. of Investor complaints received during the quarter: 2 No. of Investor complaints disposed of during the quarter: 2 No. of Investor complaints unresolved at the end of the quarter: 0
09-04-2021	Certificate under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended March 31, 2021
09-04-2021	Compliance Certificate under Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended March 31, 2021
12-04-2021	Certificate under Regulation 40(9) of SEBI (LODR) Regulations, 2015 submitted
13-05-2021	Ujivan Small Finance Bank Ltd has informed BSE that the meeting of the Board of Directors of the Company is scheduled on 18/05/2021, inter alia, to consider and approve the Audited Financial Results of the Bank for the quarter and financial year ended March 31, 2021
18-05-2021	Financial Results for the quarter and financial year ended March 31, 2021
19-05-2021	Newspaper publication of Audited Financial Results for the quarter and financial year ended March 31, 2021
20-05-2021	Q4 FY2021 Earnings Conference Call Transcript
20-05-2021	Resignation of Mr. Ittira Davis (DIN: 06442816) as an Additional Director (Non-Executive, Non-Independent) of the Bank and withdrawal of application made to Reserve Bank of India for appointment of Part-Time Chairman (Non-Executive, Non-Independent).
21-05-2021	Submission of Annual Secretarial Compliance Report for the Financial Year ended March 31, 2021.
04-06-2021	Disclosure under Regulation 23(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the period ended March 31, 2021
19-06-2021	Resignation of Mr. Mahadev Lakshminarayanan (DIN:05003710) as an Independent Director of the Bank
24-06-2021	This is to inform you that pursuant to Code of Conduct for Prevention of Insider Trading and Code of Fair Disclosure and Conduct of Ujivan Small Finance Bank Limited, the Trading Window for dealing in Equity Shares of Ujivan Small Finance Bank Limited ('Bank') by its designated persons and their dependent(s) shall be closed from July 01, 2021. The Trading Window will open 48 (Forty-Eight) hours after the announcement /declarations of the unaudited financial results of the Bank for the quarter ended June 30, 2021. The date of meeting of Board of Directors of the Bank for consideration and approval of the unaudited financial results of the Bank for the quarter ended
07-07-2021	Key Business Numbers Update for the quarter ended June 30, 2021 submitted
07-07-2021	Resignation of Ms. Upma Goel from the position of Chief Financial Officer of the Bank
10-07-2021	Disclosure under Regulation 30 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 submitted
12-07-2021	Statement Of Investor Complaints For The Quarter Ended June 2021 submitted
12-07-2021	Certificate under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended June 30, 2021 submitted
20-07-2021	Approval of Reserve Bank of India ('RBI') for the Appointment of Joint Statutory Auditors of Ujivan Small Finance Bank Limited for the Financial Year 2021-22
26-07-2021	Retirement of Mr. Nandlal Laxminarayan Sarda (DIN: 00147782), Independent Director of the Bank
29-07-2021	Ujivan Small Finance Bank Ltd has informed BSE that the meeting of the Board of Directors of the Company is scheduled on 06/08/2021, inter alia, to consider and approve the financial results for the quarter ended Jun 30, 2021
30-07-2021	Credit Rating update on Long Term Bank Facilities
06-08-2021	Financial Results for the quarter ended June 30, 2021 submitted
06-08-2021	Annual Review and Amendments in Code of Conduct for Prevention of Insider Trading and Code of Fair Disclosure and Conduct of Ujivan Small Finance Bank Limited
07-08-2021	Newspaper Publication of Financial Results for the quarter ended June 30, 2021
10-08-2021	Resignation of Ms. Mona Kachhwaha (DIN: 01856801) as a Director (Non-Executive, Non-Independent) of the Bank
10-08-2021	Additional data on Credit Quality submitted
11-08-2021	Earning Call Transcript submitted
12-08-2021	Resignation of Mr. Harish Devarajan (DIN: 00080245) as an Additional Director (Independent) of the Bank
19-08-2021	Appointment of Additional Directors
19-08-2021	Resignation of Mr. Nitin Chugh (DIN: 01884659) from the position of Managing Director and CEO of the Bank
25-08-2021	The Exchange has sought clarification from Ujivan Small Finance Bank Ltd on August 25, 2021 with reference to significant movement in price, in order to ensure that investors have latest relevant information about the company and to inform the market so that the interest of the investors is safeguarded
25-08-2021	Transcript of Investor/ Analyst Call held on August 20, 2021
25-08-2021	Clarification on Movement in Price submitted
25-08-2021	Appointment of Chairman and change in category of Directorship
25-08-2021	Outcome of the Board Meeting held on August 25, 2021- Appointment of Officer on Special Duty and date of 5th Annual General Meeting of the Bank
31-08-2021	Newspaper advertisement on 5th Annual General Meeting of the Bank submitted

03-09-2021	Notice of the 5th Annual General Meeting of Ujjivan Small Finance Bank Limited
03-09-2021	Submission of Annual Report for the Financial Year 2020-21 along with Notice of 5th Annual General Meeting of the Bank
04-09-2021	Intimation of newspaper advertisement - Post dispatch of the Notice of the 5th Annual General Meeting of the Bank
09-09-2021	Submission of updated copy of Annual Report for the Financial Year 2020-21
15-09-2021	Submission of updated copy of the Annual Report for the Financial Year 2020-21
16-09-2021	RBI approval for constitution of Special Committee of Directors
24-09-2021	Business update submitted
27-09-2021	Outcome and Proceeding of the 5th Annual General Meeting of Ujjivan Small Finance Bank Limited
27-09-2021	Voting results of the 5th Annual General Meeting of Ujjivan Small Finance Bank Limited and Scrutinizer's Report
28-09-2021	Ujjivan Small Finance Bank Ltd has informed BSE regarding the details of Voting results of AGM, under Regulation 44(3) of SEBI (LODR) Regulations, 2015. Kindly Click here
01-10-2021	Resignation of Mr. Umang Bedi (DIN: 02432920) as an Independent Director of the Bank
07-10-2021	Statement Of Investor Complaints For The Quarter Ended September 2021 submitted
07-10-2021	Compliance Certificate under Reg. 74 (5) of SEBI (DP) Regulations, 2018
11-10-2021	Update on Key Business Numbers submitted
30-10-2021	Intimation of Scheme of Amalgamation under Regulation 30 of SEBI (Listing Obligation and Disclosure Requirements), Regulations 2015 ('Listing Regulations') read with SEBI Circular bearing reference number CIR/CFD/CMD/4/2015 dated September 9, 2015
30-10-2021	Ujjivan Small Finance Bank Ltd has informed BSE that the meeting of the Board of Directors of the Company is scheduled on 08/11/2021, inter alia, to consider and approve the Unaudited Financial Results of the Bank for the quarter and half year ended September 30, 2021
08-11-2021	Unaudited Financial Results of the Bank for the quarter and half year ended September 30, 2021
09-11-2021	Intimation about newspaper publication of Unaudited Financial Results for the quarter and half year ended September 30, 2021
10-11-2021	Corrigendum to the Un-audited Financial Results for quarter and half year ended September 30, 2021 submitted on November 08, 2021, under Regulation 30 and 33 read with Schedule III of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015
12-11-2021	Transcript Of Investor/ Analyst Call Held On November 09, 2021
19-11-2021	Disclosure under Regulation 23(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the period ended September 30, 2021 submitted
23-11-2021	Intimation of Reserve Bank of India Approval for the Appointment of Mr. Banavar Anantharamaiah Prabhakar as the Part-Time Chairman (Non-Executive) of Ujjivan Small Finance Bank Limited
29-11-2021	Appointed Mr. P.N. Raghunath, General Manager, Reserve Bank of India, Bengaluru, Regional Office as an Additional Director on the Board of the Bank
02-12-2021	Update on the Scheme of Amalgamation under Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.
06-12-2021	1. Appointment of Mr. Ittira Davis (DIN: 06442816) as the Managing Director and Chief Executive Officer (MD & CEO) of the Bank 2. Approval of Postal Ballot Notice
07-12-2021	Submission of Postal Ballot Notice of the Bank
08-12-2021	Copy of Newspaper Publication of the Notice of Postal Ballot
22-12-2021	Appointment of Mr. Satyaki Rastogi as Non-Executive Nominee Director of the Bank w.e.f. December 22, 2021 and consequent vacation of office of Ms. Chitra K Alai as the Nominee Director on the Board of the Bank.
24-12-2021	Intimation for Closure of Trading Window
06-01-2022	Resignation of Mr. Chanchal Kumar from the position of Company Secretary and Compliance Officer of the Bank
06-01-2022	Statement Of Investor Complaints For The Quarter Ended December 2021 submitted
06-01-2022	Compliance-Certificate under Reg. 74 (5) of SEBI (DP) Regulations, 2018
06-01-2022	Update on Key Business Numbers
07-01-2022	Details Of Voting Results Along With The Report Of The Scrutinizer.
07-01-2022	Ujjivan Small Finance Bank Ltd has informed BSE regarding the details of Voting results of Postal Ballot, under Regulation 44(3) of SEBI (LODR) Regulations, 2015.
13-01-2022	Approval of Reserve Bank of India for the appointment of Managing Director and CEO of the Bank
13-01-2022	RBI approves appointment of Ittira Davis as MD & CEO of Ujjivan Small Finance Bank
15-01-2022	Intimation of retirement of Mr. Prabal Kumar Sen, Independent Director of the Bank
28-01-2022	Ujjivan Small Finance Bank Ltd has informed BSE that the meeting of the Board of Directors of the Company is scheduled on 05/02/2022, inter alia, to consider and approve Ujjivan Small Finance Bank Limited has informed the exchange that a meeting of the Board of Directors of the Bank will be held on Saturday, February 05, 2022, to, inter alia, consider and approve the Unaudited Financial Results of the Bank for the quarter and nine months ended December 31, 2021.
30-01-2022	Ujjivan Small Finance Bank Ltd has informed BSE that the meeting of the Board of Directors of the Company is scheduled on 05/02/2022, inter alia, to consider and approve Intimation under Regulations 29 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("SEBI Listing Regulations")
05-02-2022	Outcome of the meeting of the Board of Directors-Approval of Financial Results for the quarter and nine months ended December 31, 2021
05-02-2022	Outcome of the meeting of the Board of Directors - Approval of Financial Results for the quarter and nine months ended December 31, 2021
05-02-2022	Appointment of Mr. Sanjeev Barnwal as the Company Secretary and Compliance Officer of the Bank w.e.f. April 05, 2022

05-02-2022	Appointment of Mr. Ramesh Murthy as the Chief Financial Officer of the Bank w.e.f March 14, 2022
05-02-2022	Issuance of equity shares through Qualified Institutional Placement basis
06-02-2022	Intimation about newspaper publication of Unaudited Financial Results for the quarter and nine months ended December 31, 2021
10-02-2022	Transcript Of Investor/ Analyst Call Held On February 07, 2022
22-02-2022	Recategorization of Ms. Sudha Suresh (DIN: 06480567) as an Independent Director of the Bank
23-02-2022	Ujjivan Small Finance Bank Limited (Bank) has informed that CRISIL Ratings vide its letter dated February 22, 2022, has reaffirmed its "CRISIL A1+" rating on the Rs. 2,500 Crore Certificate of Deposits Programme of the Bank.
23-02-2022	Ujjivan Small Finance Bank Limited (Bank) has informed the Exchange about Notice of Postal Ballot dated February 21, 2022
24-02-2022	Ujjivan Small Finance Bank Limited (Bank) has informed the Exchange about Newspaper Publication of the Notice of Postal Ballot of the Bank

**Notes:**

The Company meets Investors/ Analysts/ Participants from time to time. Please refer to the website of the Stock Exchanges for the intimation of the schedule of such meetings and related details, as applicable. For further updates and information, please refer to the website of the Stock Exchanges i.e. [www.bseindia.com](http://www.bseindia.com) and/or [www.nseindia.com](http://www.nseindia.com)

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