

Name of the Issuer:	Spandana Sphoort Finance Limited	Last updated on	25-Feb-22
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1 Type of Issue (PO / FPO)	IPO
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2 Issue Size (Rs. Cr)	1,200.94
- Fresh Issue Size (Rs. Cr)	400.00
- Offer for Sale Component (Rs. Cr)	800.94
Source: Final Post Issue Report dated August 20, 2019	
Note: Out of the public offer of 14,029,622 equity share, only 13,900,110 equity shares were allotted after technical rejections	

3 Grade of issue along with name of the rating agency	
Name	Not Applicable
Grade	Not Applicable

4 Subscription Level (Number of times)	0.99*
Source: Final Post Issue Report dated August 20, 2019	
*The above figure is after technical rejections	

5 QIB Holding (as a % of outstanding capital) as disclosed to stock exchanges	
Particulars	%
(i) allotment in the issue ⁽¹⁾	19.38%
(ii) at the end of the 1st Quarter immediately after the listing of the issue	16.22%
(iii) at the end of 1st FY (March 31, 2020)	16.33%
(iv) at the end of 2nd FY (March 31, 2021)	15.54%
(v) at the end of 3rd FY (March 31, 2022)*	Not Available
* QIB Holding not disclosed as reporting for the relevant period/ fiscal year has not been completed.	
(1) Source: Minutes of Basis of Allotment. Includes allotment to Anchor Investors.	

6 Financials of the issuer			
	(In Rs. Crore)		
Parameters	1st FY (March 31, 2020)	2nd FY (March 31, 2021)	3rd FY (March 31, 2022)*
Income from operations	1,435.30	1,482.63	Not Available
Net Profit for the period	351.83	145.46	Not Available
Paid-up equity share capital	64.15	64.32	Not Available
Reserves excluding revaluation reserves	2,561.64	2,684.76	Not Available
* Financials not disclosed as reporting for the relevant fiscal year has not been completed.			

7 Trading Status in the scrip of the issuer	
Company's Equity Shares are listed on both the BSE Limited and NSE. The Shares have not been suspended or delisted.	
Particulars	Status
(i) at the end of 1st FY (March 31, 2020)	Frequently traded
(ii) at the end of 2nd FY (March 31, 2021)	Frequently traded
(iii) at the end of 3rd FY (March 31, 2022)*	Not Available
* Trading status not disclosed as the relevant fiscal year has not been completed.	

8 Change in Directors of issuer from the disclosures in the offer document		
Particulars	Name of the Director	Appointed / Resigned
(i) at the end of 1st FY (March 31, 2020)	-	-
(ii) at the end of 2nd FY (March 31, 2021)	Darius Dinshaw Pandole	Resigned
(iii) at the end of 3rd FY (March 31, 2022)*	Padmaja Gangireddy	Resigned
	Shalabh Saxena	Appointed
Source: Stock Exchange Filings		
* As of Feb 25, 2022		

9 Status of implementation of project/ commencement of commercial production	
(i) as disclosed in the offer document	Not applicable
(ii) Actual implementation	Not applicable
(iii) Reasons for delay in implementation, if any	Not applicable

10 Status of utilization of issue proceeds		
(i) as disclosed in the offer document		
	(In Rs. Crore)	
Particulars	Amount proposed to be funded from Net Proceeds	Estimated Deployment (Financial Year 2020)
For augmentation of Company's capital base and general corporate purposes	382.42	382.42
Source: Prospectus dated August 8, 2019		
(ii) Actual utilization		
	(In Rs. Crore)	
Particulars	Amount proposed to be funded from Net Proceeds	Estimated Deployment (Financial Year 2020)
For augmentation of Company's capital base and general corporate purposes	370.07	370.07
Source: Monitoring Agency report dated November 4, 2019		
(iii) Reasons for deviation, if any	Not applicable	

11 Comments of monitoring agency, if applicable	
(a) Comments on use of funds	Fully utilised; The difference in gross proceeds is based on finalised basis of allotment
(b) Comments on deviation, if any, in the use of proceeds of the issue from the objects stated in the offer document	
(c) Any other reservations expressed by the monitoring agency about the end use of funds	

12 Pricing Data	
Issue Price (Rs.):	856
Designated Stock Exchange:	NSE
Listing Date:	19-Aug-19

Price parameters	At close of listing day (August 19, 2019)	At close of 30th calendar day from listing day (September 17, 2019) ⁽¹⁾	At close of 90th calendar day from listing day (November 16, 2019) ⁽²⁾	As at the end of 1st FY after the listing of the issue (March 31, 2020) ⁽³⁾⁽⁴⁾			As at the end of 2nd FY after the listing of the issue (March 31, 2021) ⁽⁵⁾			As at the end of 3rd FY after the listing of the issue (March 31, 2022) ⁽⁵⁾⁽⁶⁾		
				Closing price	High (during the FY)	Low (during the FY)	Closing price	High (during the FY)	Low (during the FY)	Closing price	High (during the FY)	Low (during the FY)
Market Price on Designated Stock Exchange	847.80	851.20	1,295.85	587.45	1,397.00	484.10	604.35	830.00	403.50	Not Available	Not Available	Not Available
NIFTY 50	11,053.90	10,817.60	11,884.50	8,597.75	12,430.50	7,511.10	49,509.15	52,516.76	27,500.79	Not Available	Not Available	Not Available
NIFTY FIN SERVICE ⁽⁶⁾	12,532.40	12,111.05	14,002.70	9,317.30	14,747.70	7,984.20	15,715.60	17,654.45	8,521.15	Not Available	Not Available	Not Available

- Note:
- 30th calendar day shall be taken as listing date plus 29 calendar days.
 - 90th calendar day shall be taken as listing date plus 89 calendar days. Since 90th calendar day is a Saturday, the next trading day is considered.
 - High and Low based on intra day prices.
 - Pricing data not disclosed as the relevant fiscal year has not completed.
 - NIFTY FIN SERVICE index represents the relevant sectoral index.
 - Given that the Company was listed on August 19, 2019, the data is presented for the period beginning on August 19, 2019

13 Basis for Issue Price				
Accounting ratio	As disclosed in the offer document⁽¹⁾	1st FY (March 31, 2020)	2nd FY (March 31, 2021)	3rd FY (March 31, 2022)*
Issuer:				
Basic	53.46	56.21	20.05	Not Available
Peer Group:				
Bharat Financial Inclusion Limited	70.39	-	-	Not Available

	Satin Creditcare Network Limited	41.67	30.43	(2.26)	Not Available
	Ujivan Financial Services Limited	12.43	23.25	(16.34)	Not Available
EPS	Bandhan Bank Limited	16.36	18.78	13.70	Not Available
	Bajaj Finance Limited	69.33	89.77	73.58	Not Available
	Cholamandalam Investment & Finance Company Limited	76.56	13.39	18.48	Not Available
	Shriram City Union Finance Limited	151.83	154.95	153.16	Not Available
	Sundaram Finance Limited	105.40	71.85	72.82	Not Available
	Mahindra & Mahindra Financial Services Limited	29.73	17.48	3.03	Not Available
	Industry Avg:	Not Applicable	Not Applicable	Not Applicable	Not Applicable
	Issuer:				
	Basic	16.0	10.45	30.14	Not Available
	Peer Group:				
	Bharat Financial Inclusion Limited	16.1	-	-	Not Available
	Satin Creditcare Network Limited	8.8	2.05	Not meaningful	Not Available
	Ujivan Financial Services Limited	28.0	6.38	Not meaningful	Not Available
P/E	Bandhan Bank Limited	32.1	10.84	24.73	Not Available
	Bajaj Finance Limited	43.6	24.69	69.98	Not Available
	Cholamandalam Investment & Finance Company Limited	18.9	11.42	30.24	Not Available
	Shriram City Union Finance Limited	12.2	4.83	8.90	Not Available
	Sundaram Finance Limited	17.6	16.71	35.49	Not Available
	Mahindra & Mahindra Financial Services Limited	14.2	8.42	65.64	Not Available
	Industry Avg:	21.3	10.7	39.2	Not Available
	Issuer:	16.51	13.40	5.29	Not Available
	Peer Group:				
	Bharat Financial Inclusion Limited	23.31	-	-	Not Available
	Satin Creditcare Network Limited	17.53	10.70	0.94	Not Available
	Ujivan Financial Services Limited	8.01	16.54	8.99	Not Available
	Bandhan Bank Limited	17.42	19.90	12.67	Not Available
	Bajaj Finance Limited	20.28	15.93	11.97	Not Available
	Cholamandalam Investment & Finance Company Limited	19.27	12.85	15.85	Not Available
	Shriram City Union Finance Limited	15.03	13.78	12.45	Not Available
	Sundaram Finance Limited	12.88	12.66	13.09	Not Available
	Mahindra & Mahindra Financial Services Limited	16.46	9.07	2.28	Not Available
	Industry Avg:	Not Applicable	Not Applicable	Not Applicable	Not Applicable
	Issuer:	316.84	409.32	Not Available	Not Available
	Peer Group:				
	Bharat Financial Inclusion Limited	301.30	-	-	Not Available
	Satin Creditcare Network Limited	235.00	56.03	206.35	Not Available
	Ujivan Financial Services Limited	155.00	148.51	218.55	Not Available
	Bandhan Bank Limited	93.90	94.37	108.09	Not Available
	Bajaj Finance Limited	340.80	537.28	611.64	Not Available
	Cholamandalam Investment & Finance Company Limited	397.10	100.05	116.58	Not Available
	Shriram City Union Finance Limited	1013.70	1,124.73	1,229.99	Not Available
	Sundaram Finance Limited	719.90	606.09	556.19	Not Available
	Mahindra & Mahindra Financial Services Limited	183.70	194.51	119.07	Not Available
	Industry Avg:	Not Applicable	Not Applicable	Not Applicable	Not Applicable

Notes:					
(1) Sourced from Prospectus dated August 8, 2019. Disclosure is based on the consolidated financial information (wherever available) disclosed in the Prospectus for Fiscal 2019.					
*Information not provided as the relevant fiscal year has not completed					
Key ratios for the Company for the three fiscal years stated above shall be calculated as follows:					
(i) EPS (Rs) is Profit after tax attributable to equity shareholders for the year divided by Weighted average number of Equity Shares outstanding during the year / period					
(ii) P/E - Closing Market Price as of relevant fiscal year end or period / EPS					
(iii) RoNW - Return on net worth % is Net profit after tax (as restated) divided by net worth at the end of the year/period (excluding revaluation reserve).					
(iv) NAV per share - Networth as per the restated financial information/ Total Equity Shares Outstanding as of fiscal year end or period					

14 Any other material information

Date of disclosure to Designated Stock Exchange	Announcement
30 September 2019	Pursuant to SEBI (Prohibition of Insider Trading) Regulations, 2015 and Company Code of Conduct for Prohibition of Insider Trading and Internal Procedures, Company hereby inform you that the Trading Window for dealing in securities of the Company shall remain closed for all the Designated Persons (including immediate relatives(s)) with effect from October 1, 2019 till 48 hours after the announcement of Financial Results (Standalone and Consolidated) for the quarter and half-year ended September 30, 2019. Accordingly all designated persons of the Company have been informed not to trade in Company's Securities during the aforementioned period.
14 October 2019	Pursuant to Regulation 7(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (SEBI LODR), submitted the certificate duly signed by Authorized Signatory of Kanvy Fintech Private Limited, Registrar and Transfer Agent and the Company Secretary of the Company for the half-year ended September 30, 2019 confirming compliance of the provisions of Regulation 7(2) of SEBI LODR.
14 October 2019	Pursuant to Regulation 40(10) read with 61(4) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (SEBI LODR), submitted the certificate of M/s RPR & Associates, Practising Company Secretaries, certifying compliance under Regulation 40(9) of SEBI LODR for the half year ended September 30, 2019 Statement of Investor complaints for the quarter ended September 30, 2019:
15 October 2019	No. of Investor complaints pending at the beginning of the quarter: 0 No. of Investor complaints received during the quarter: 55 No. of Investor complaints disposed of during the quarter: 55 No. of Investor complaints unresolved at the end of the quarter: 0
15 October 2019	Pursuant to Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018, submitted the Certificate received from M/s. Kanvy Fintech Private Limited, Registrar and Transfer Agent, for the quarter ended September 30, 2019.
24 October 2019	Spandana Sphoorty Financial Ltd has informed that the meeting of the Board of Directors of the Company is scheduled on 31/10/2019, inter alia, to consider and approve Unaudited Standalone and Consolidated Financial Results of the Company for the quarter and half-year ended September 30, 2019
26 October 2019	Pursuant to Regulation 47 of the SEBI (LODR) Regulations, 2015, submitted the copy of newspaper advertisement published in 'Business Standard' (in English language) and 'Mana Telangana' (in Telugu language) on 25th October, 2019 with regard to intimation of the Meeting of the Board of Directors of the Company scheduled to be held on Thursday, 31st October, 2019.
31 October 2019	Pursuant to the applicable provisions of SEBI (LODR) the Board of Directors at its meeting held on Thursday, 31st October, 2019, has inter-alia considered and approved Unaudited Standalone and Consolidated Financial Results of the Company for the quarter and half-year ended 30th September, 2019. Further, submitted the following documents: (i) The copies of the Unaudited Standalone and Consolidated Financial Results of the Company for the quarter and half-year ended September 30, 2019; (ii) The Limited Review Report issued by Statutory Auditors of the Company i.e., M/s. S. R. Balliboi & Co. LLP, Chartered Accountants (FRN No.301003E/300005). (iii) Disclosure pursuant to Regulations 52(4) of the SEBI (LODR); (iv) Certificate from Debenture Trustee, Catalyst Trustees Limited, as required under Regulation 52(5) of the SEBI (LODR); (v) Statement pursuant to Regulations 52(7) of the SEBI (LODR).
31 October 2019	Pursuant to Regulation 30(5) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, submit the contact details of Key Managerial Personnel (KMP) of the Company who has been authorized for the purpose of determining materiality as per Company's Policy for determining of Materiality Threshold for Disclosure of Events or Information and to make necessary disclosure to the Stock Exchanges
31 October 2019	Investor Presentation of the Company on the Unaudited Financial Results for the Quarter ended 30th September, 2019 submitted. The report may also be accessed on the website of the Company at www.spandanaindia.com
01 November 2019	Pursuant to Regulation 47 of the SEBI (LODR) Regulations, 2015, submitted the copy of newspaper advertisement of Unaudited Financial Results of the Company for the quarter and half-year ended 30th September, 2019 published in English daily 'Business Standard' and regional (Telugu) newspaper 'Mana Telangana' on 1st November, 2019. The advertisements may also be accessed on the website of the Company at www.spandanaindia.com .
04 November 2019	Pursuant to Regulation 32(1) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, Company hereby confirm that gross proceeds of fresh issue in the IPO have been fully utilised for the objects mentioned in the prospectus dated August 8, 2019 filed with the Registrar of Companies (ROC), Telangana registered on August 13, 2019, and accordingly there is no deviation / variation in utilisation of gross proceeds of fresh issue in the IPO. Further in terms of Regulation 32(6) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, submitted herewith the Monitoring Agency Report issued by Kotak Mahindra Bank Limited (the 'Monitoring Agency') with respect to utilization of the gross proceeds of fresh issue for the quarter ended September 30, 2019 as reviewed by Audit Committee and Board of Directors at their meetings held on October 31, 2019.
19 November 2019	Pursuant to Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, submitted a copy of the Postal Ballot Notice dated October 31, 2019 along with Explanatory Statement and the Postal Ballot Form, as dispatched to the Members of the Company for seeking approval on the following matters: i. To consider and approve alteration and ratification of provisions of Articles of Association of the Company. ii. To approve ratification of Spandana Employee Stock Option Plan 2018 (the 'ESOP Plan 2018') and Spandana Employee Stock Option Scheme, 2018 (the 'ESOP Scheme 2018'). iii. To approve ratification of the ESOP Plan 2018 and the ESOP Scheme 2018 for grant of employee stock options to the employees of Subsidiary Companies of the Company.
20 November 2019	Pursuant to Regulation 30 of the SEBI (LODR) Regulations, 2015, read with Para A of Part A of Schedule III thereto, submitted a copy of newspaper advertisement published in 'Business Standard' (in English language) and 'Mana Telangana' (in Telugu language) on 20th November 2019 with regard to completion of Postal Ballot Notice along with Postal Ballot Form to the Members of the Company and publication of information as required in terms of Rule 22 of Companies (Management and Administration) Rules, 2014.
20 November 2019	Pursuant to Regulation 23(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, submitted the disclosure on Related Party Transactions on a consolidated basis for the half year ended September 30, 2019, in the format specified in the applicable Accounting Standards. The above documents may also be accessed on the website of the Company at www.spandanaindia.com .

09 December 2019	Company has been informed by the RTA that they have changed their name from Karvy Fintech Private Limited to KFin Technologies Private Limited.
20 December 2019	In terms of Regulation 44(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 [SEBI (LODR) Regulations, 2015], submitted herewith the following documents: a) Copy of the Report of the Scrutinizer dated 20th December, 2019; b) Voting Result of Postal Ballot (Including e-voting) in terms of Regulation 44(3) of the SEBI (LODR) Regulations, 2015. The resolutions have been duly approved by the shareholders of the Company with requisite majority. These resolutions are deemed to have been passed on the last date of voting i.e. December 19, 2019.
24 December 2019	Spandana Sphoorty Financial Ltd has informed regarding the details of Voting results of Postal Ballot, under Regulation 44(3) of SEBI (LODR) Regulations, 2015.
31 December 2019	Pursuant to SEBI (Prohibition of Insider Trading) Regulations, 2015 and Company Code of Conduct for Prohibition of Insider Trading and Internal Procedures, the Trading Window for dealing in securities of the Company shall remain closed for all Designated Persons [including their immediate relative(s)] with effect from January 1, 2020 till 48 hours after the announcement of Un-audited Financial Results (Standalone and Consolidated) for the quarter and nine months ended December 31, 2019.
07 January 2020	Accordingly all designated persons of the Company have been informed not to trade in Company's Securities during the aforementioned period. Compliance-Certificate under Reg. 74 (5) of SEBI (DP) Regulations, 2018 submitted Statement of Investor complaints for the quarter ended December 31, 2019:
09 January 2020	No of Investor complaints pending at the beginning of the quarter: 0 No of Investor complaints received during the quarter: 0 No of Investor complaints disposed of during the quarter: 0 No of Investor complaints unresolved at the end of the quarter: 0
22 January 2020	Spandana Sphoorty Financial Ltd has informed that the meeting of the Board of Directors of the Company is scheduled on 28/01/2020 inter alia, to consider and approve Unaudited Standalone and Consolidated Financial Results of the Company for the quarter and nine months ended December 31, 2019.
23 January 2020	Pursuant to Regulation 47 of the SEBI (LODR) Regulations, 2015, submitted the copy of newspaper advertisement published in 'Business Standard' (in English language) and 'Mana Telangana' (in Telugu language) on January 23, 2020 with regard to intimation of a Meeting of the Board of Directors of the Company scheduled to be held on Tuesday, January 28, 2020.
28 January 2020	Pursuant to the applicable provisions of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, [SEBI (LODR)] the Board of Directors at its meeting held on Tuesday, January 28, 2020, has inter-alia considered and approved Unaudited Standalone and Consolidated Financial Results of the Company for the quarter and nine months ended December 31, 2019. Further, please find enclosed herewith the following documents: (i) The copies of the Unaudited Standalone and Consolidated Financial Results of the Company for the quarter and nine months ended December 31, 2019; (ii) The Limited Review Report issued by Statutory Auditors of the Company i.e., M/s. S. R. Batliboi & Co. LLP, Chartered Accountants (FRN No.301003E/300005).
28 January 2020	Pursuant to Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, submitted the Press Release on the Financial Result of the Company for quarter and nine months ended December 31, 2019.
28 January 2020	Investor Presentation of the Company on the Unaudited Financial Results for the quarter and nine months ended December 31, 2019 submitted
28 January 2020	Pursuant to the exercise of Employee Stock Options (ESOPs) by the eligible employees under the Spandana Employee Stock Option Plan 2018 (ESOP Plan 2018) and Spandana Employee Stock Option Scheme 2018 (ESOP Scheme 2018), the Company has allotted 91,752 Equity Shares of the face value of Rs. 10.00 (Rupees Ten only) each on January 28, 2020. Accordingly, the paid up Equity share capital of the Company has increased from Rs. 64,17,70,680 consisting of 6,41,77,068 Equity shares having the face value of Rs. 10.00 each to Rs. 64,26,88,200 consisting of 6,42,68,820 Equity Shares having the face value of Rs. 10.00 each. Further, Company confirms that the above allotted shares shall rank pari-passu with the existing Equity Shares of the Company.
28 January 2020	Resubmission of the Investor Presentation of the Company on the Unaudited Financial Results for the quarter and nine months ended December 31, 2019 rectifying typo errors
28 January 2020	Nomination and Remuneration Committee of the Board of Directors of the Company at its Meeting held on January 28, 2020, granted 4,27,000 stock options to the eligible employees of the Company under Spandana Employee Stock Option Plan 2018 and Spandana Employee Stock Option Scheme 2018. The brief details of the stock options granted is submitted
29 January 2020	Pursuant to Regulation 47 of the SEBI (LODR) Regulations, 2015, please find enclosed herewith the copy of newspaper advertisement of Unaudited Financial Results of the Company for the quarter and nine months ended December 31, 2019 published in English daily 'Business Standard' and regional (Telugu) newspaper 'Mana Telangana' on January 29, 2020.
03 March 2020	Pursuant to the exercise of Employee Stock Options (ESOPs) by the eligible employees under the Spandana Employee Stock Option Plan 2018 (ESOP Plan 2018) and Spandana Employee Stock Option Scheme 2018 (ESOP Scheme 2018), the Company has allotted 46,663 Equity Shares of the face value of Rs. 10.00 (Rupees Ten only) each on March 3, 2020. Accordingly, the paid up Equity share capital of the Company has increased from Rs. 64,26,88,200 consisting of 6,42,68,820 Equity shares having the face value of Rs. 10.00 each to Rs. 64,31,54,830 consisting of 6,43,15,483 Equity Shares having the face value of Rs. 10.00 each. Further, we hereby confirm that the above allotted shares shall rank pari-passu with the existing Equity Shares of the Company.
03 March 2020	Pursuant to Regulation 30 read with Schedule III of the SEBI (LODR) Regulations, 2015, we wish to inform you that Nomination and Remuneration Committee of the Board of Directors of the Company at its Meeting held on March 3, 2020, granted 36,500 stock options to the eligible employees of the Company under Spandana Employee Stock Option Plan 2018 and Spandana Employee Stock Option Scheme 2018. The brief details of the stock options granted are listed as Annexure-1.
27 March 2020	The Exchange has sought clarification from Spandana Sphoorty Financial Ltd on 27th March, 2020 with reference to significant movement in price, in order to ensure that investors have latest relevant information about the company and to inform the market so that the interest of the investors is safeguarded.
27 March 2020	With reference to significant movement in price, in order to ensure that investors have latest relevant information about the company and to inform the market so that the interest of the investors is safeguarded, Spandana Sphoorty Financial Ltd has submitted to BSE a copy of Clarification is enclosed.
31 March 2020	Pursuant to SEBI (Prohibition of Insider Trading) Regulations, 2015 and Company Code of Conduct for Prohibition of Insider Trading and Internal Procedures, we hereby inform you that the Trading Window for dealing in securities of the Company shall remain closed for all Designated Persons [including their immediate relative(s)] with effect from April 1, 2020 till 48 hours after the announcement of Audited Financial Results (Standalone and Consolidated) for the quarter and year ended March 31, 2020. Accordingly all designated persons of the Company have been informed not to trade in Company's Securities during the aforementioned period.
31 March 2020	Spandana Sphoorty Financial Limited has completed Direct Assignment of Micro-finance loans of Rs 490.23 Crore on March 30, 2020. With this, a total amount of Rs. 1,261.96 Crore has been raised during the quarter (Q4 FY2019-20) in the form of Direct Assignment. The entire Direct Assignment pool qualifies for Priority Sector treatment as per the guidelines prescribed by the Reserve Bank of India.
06 April 2020	Pursuant to Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby enclose the Press Release on Business Update on impact of COVID-19. The aforesaid information can also be accessed on the website of the Company at www.spandanaindia.com .
06 April 2020	In continuation to our letter no. SSFL/Stock Exchange/2020-21/1 dated April 06, 2020; we hereby inform you that there was a typo error in the Press Release submitted along with the aforesaid letter. The same has now been rectified and an updated copy of the Press Release is enclosed herewith for your kind perusal and taking on record. The aforesaid information can also be accessed on the website of the Company at www.spandanaindia.com .
21 April 2020	Statement of Investor complaints for the quarter ended March 31, 2020: No of Investor complaints pending at the beginning of the quarter: 0 No of Investor complaints received during the quarter: 0 No of Investor complaints disposed of during the quarter: 0 No of Investor complaints unresolved at the end of the quarter: 0
21 April 2020	Pursuant to Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018, please find enclosed herewith the Certificate received from M/s. KFin Technologies Private Limited, Registrar and Transfer Agent, for the quarter ended March 31, 2020.
21 April 2020	Pursuant to Regulation 40(10) read with 61(4) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (SEBI (LODR)), please find enclosed herewith the certificate of M/s RPR & Associates, Practising Company Secretaries, certifying compliance under Regulation 40(9) of SEBI (LODR) for the half year ended March 31, 2020.
21 April 2020	Pursuant to Regulation 7(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (SEBI (LODR)), please find enclosed herewith the certificate duly signed by Authorized Signatory of KFin Technologies Private Limited, Registrar and Transfer Agent and the Company Secretary of the Company for the half-year ended March 31, 2020 confirming compliance of the provisions of Regulation 7(2) of SEBI (LODR).
29 April 2020	Pursuant to Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby enclose the Press Release on Business Update - April 2020. The aforesaid information can also be accessed on the website of the Company at www.spandanaindia.com .
29 May 2020	Spandana Sphoorty Financial Ltd has informed that the meeting of the Board of Directors of the Company is scheduled on 02/06/2020 inter alia, to consider and approve (a) Audited Standalone and Consolidated Financial Results of the Company for the quarter and financial year ended March 31, 2020, (b) issuance of Non-Convertible Debentures, in a year, on a private placement basis in one or more tranches/issuances/series, in terms of applicable provisions of the Companies Act, 2013 and other applicable laws.
02 June 2020	This is to inform you that pursuant to the applicable provisions of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, [SEBI (LODR)] the Board of Directors at its meeting held on Tuesday, June 02, 2020, has inter-alia considered and approved the following: 1. Audited Standalone and Consolidated Financial Results of the Company for the quarter and financial year ended March 31, 2020 and took note of the Audit Reports thereon, submitted by Statutory Auditors of the Company i.e., M/s. S. R. Batliboi & Co. LLP, Chartered Accountants (FRN No.301003E/300005). 2. To create, offer, invite, issue, subscribe, allot non-convertible debentures, in one or more modes or combinations thereof and in one or more series or tranches up to an amount not exceeding INR 2000,00,00,000/- (Indian Rupees Two Thousand Crores only) through private placement, subject to the approval of the Shareholders of the Company.
02 June 2020	Pursuant to the provisions of Regulation 30 of the Securities and Exchange Board of India (Listing Obligations & Disclosure Requirements) Regulations, 2015, we hereby inform you that the Board of Directors at its meeting held on Tuesday, June 02, 2020 inter-alia has noted the resignation of Mr. Rakesh Jhingaria from the position of Company Secretary & Compliance Officer, with effect from the close of working hours of June 5, 2020 consequently his position as Key Managerial Personnel and Compliance Officer shall be vacated.
03 June 2020	Pursuant to the provisions of Regulation 30 of the Securities and Exchange Board of India (Listing Obligations & Disclosure Requirements) Regulations, 2015, we hereby inform you that the Board of Directors at its meeting held on Tuesday, June 02, 2020 inter-alia has noted the resignation of Mr. Sudhesh Chandrasekar from the position of Chief Financial Officer, with effect from the close of working hours of June 5, 2020 consequently his position as Chief Financial Officer and Key Managerial Personnel shall be vacated.
03 June 2020	Pursuant to the provisions of Regulation 30 of the Securities and Exchange Board of India (Listing Obligations & Disclosure Requirements) Regulations, 2015, we hereby inform you that the Board of Directors at its meeting held on Tuesday, June 02, 2020 inter-alia has approved the appointment of Mr. Satish Kottakota as Chief Financial Officer and Key Managerial Personnel of the Company with effect from June 1, 2020.
03 June 2020	Pursuant to Regulation 30 read with Schedule III of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith a copy of Investor Presentation of the Company on the Audited Financial Results for the quarter and financial year ended March 31, 2020.
03 June 2020	Pursuant to Regulation 24A of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Circular bearing Ref. No. CIR/CFD/CMD1/27/2019 dated February 08, 2019, please find enclosed herewith the Annual Secretarial Compliance Report issued by M/s RPR & Associates, Company Secretaries in Practice, for the financial year ended March 31, 2020.
03 June 2020	Pursuant to Regulation 30 read with Schedule III of the SEBI (LODR) Regulations, 2015, we wish to inform you that Nomination and Remuneration Committee of the Board of Directors of the Company vide circular resolution passed on June 03, 2020 granted 125,000 stock options to the eligible employees of the Company under Spandana Employee Stock Option Plan 2018 and Spandana Employee Stock Option Scheme 2018. The brief details of the stock options granted are listed as Annexure-1.

	<p>Initial Disclosure to be made by an entity identified as a Large Corporate.</p> <p>Sr. No. Particulars Details 1 Name of Company Spandana Sphoorty Financial Ltd 2 CIN L65929TG2003PLC040648 3 Outstanding borrowing of company as on 31st March / 31st December, as applicable (in Rs cr) 3016.21 4 Highest Credit Rating during the previous FY A 4a Name of the Credit Rating Agency issuing the Credit Rating mentioned in (4) ICRA LIMITED 5 Name of Stock Exchange in which the fine shall be paid, in case of shortfall in the required borrowing under the framework BSE</p> <p>We confirm that we are a Large Corporate as per the applicability criteria given under the SEBI circular SEBI/HO/DDHS/CIR/P/2018/144 dated November 26, 2018. No</p>
04 June 2020	<p>Name of the Company Secretary: Rakesh Jhinjaria Designation: Company Secretary and Compliance Officer Email: rakesh.jhinjaria@spandaindia.com Name of the Chief Financial Officer: Sudhesh Chandrasekar Designation: Chief Financial Officer Email: sudhesh.chandrasekar@spandaindia.com</p> <p>Date: 04/06/2020</p> <p>Note: In terms para 3.2(i) of the circular, beginning F.Y 2022, in the event of shortfall in the mandatory borrowing through debt securities, a fine of 0.2% of the shortfall shall be levied by Stock Exchanges at the end of the two-year block period. Therefore, an entity identified as LC shall provide, in its initial disclosure for a financial year, the name of Stock Exchange to which it would pay the fine in case of shortfall in the mandatory borrowing through debt markets.</p>
06 June 2020	Pursuant to Regulation 30(5) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby submit the change in contact details of Key Managerial Personnel ("KMP") of the Company who has been authorized for the purpose of determining materiality as per Company's Policy for determining of Materiality Threshold for Disclosure of Events or Information and to make necessary disclosure to the Stock Exchanges.
10 June 2020	Spandana Sphoorty Financial Ltd has informed BSE that the meeting of the Board of Directors of the Company is scheduled on 13/06/2020, inter-alia, to consider and approve Pursuant to Regulation 29 and 50(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, it is hereby submitted that a Meeting of the Management Committee of the Board of Directors of Spandana Sphoorty Financial Limited is scheduled to be held on Saturday, June 13, 2020 at 11.00 a.m at the Imperial Apt, BB Nakashe Marg, Tardeo AC Market Tardeo, Tulsiwada, Mumbai 400034 inter-alia to consider and approve, the issue and offer of Non-Convertible Debentures on a private placement basis.
13 June 2020	This is to inform you that pursuant to the provisions of Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, the Management Committee of the Board of Directors of the Company at their meeting held on Saturday, June 13, 2020 has pursuant to the authority entrusted by the Board of Directors and within the limits as approved by the Members of the Company vide special resolution passed at the 16th Annual General Meeting held on July 11, 2020, inter-alia approved the issuance of 1000 (One Thousand) rated, listed, secured, redeemable Non-Convertible Debentures ("NCDs") having a face value of Rs. 10,00,000 (Rupees Ten lakh only) each, aggregating upto an amount of Rs. 100 crore (Rupees Hundred Crore only) on a Private Placement basis, in accordance with the provisions of Sections 42 and 71 of the Companies Act, 2013.
16 June 2020	With reference to our letter no. SSFL/Stock Exchange/2020-21/26 dated June 13, 2020 regarding the intimation of outcome of the Management Committee Meeting held on June 13, 2020 for approval of 1000 (One Thousand) rated, listed, secured, redeemable Non-Convertible Debentures ("NCDs") having a face value of Rs. 10,00,000 (Rupees Ten lakh only) each, aggregating upto an amount of Rs. 100 crore (Rupees Hundred Crore only) on a Private Placement basis, it is hereby submitted that the date of 16th Annual General Meeting of the Company was inadvertently mentioned as July 11, 2020.
16 June 2020	We hereby request you to consider the date of 16th Annual General Meeting held on July 11, 2019 instead of July 11, 2020 and kindly take the above ratification on record.
16 June 2020	Pursuant to Regulation 30(6) read with Schedule III of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, the ICRA Limited has assigned the rating for Company's proposed debt instruments - same has been submitted
16 June 2020	Pursuant to Regulation 30 read with Schedule III of the SEBI (LODR) Regulations, 2015, we wish to inform you that Nomination and Remuneration Committee of the Board of Directors of the Company vide circular resolution passed on June 16, 2020 granted 40,000 stock options to the eligible employee of the Company under Spandana Employee Stock Option Plan 2018 and Spandana Employee Stock Option Scheme 2018. The brief details of the stock options granted are listed as Annexure-1.
17 June 2020	Pursuant to Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith a copy of the Postal Ballot Notice dated June 02, 2020 seeking approval of the Members for the issuance of Non-Convertible Debentures (NCDs) on private placement basis.
18 June 2020	Pursuant to Regulation 47 of the SEBI (LODR) Regulations, 2015, please find enclosed herewith the copy of newspaper advertisement published in 'Financial Express' (in English language) and 'Nava Telangana' (in Telugu language) on 18th June, 2020 with regard to completion of dispatch of Postal Ballot Notice along with Postal Ballot Form to the Members of the Company and publication of information as required in terms of Rule 22 of Companies (Management and Administration) Rules, 2014.
19 June 2020	Pursuant to Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby submit that the Board of Directors of the Company vide its Circular Resolution passed on June 19, 2020 has approved the allotment of 1000 (One Thousand) 12.75% Rated, Listed, Secured, Redeemable Non-Convertible Debentures of face value of Rs. 10,00,000/- (Rupees Ten Lakh only) each, fully paid, at par, to Bandhan Bank Limited (the 'Alottee'), on a private placement basis.
30 June 2020	Intimation of Closure of Trading Window for dealing in the securities of the Company
01 July 2020	Pursuant to Regulation 23(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed the disclosure on Related Party Transactions on a consolidated basis for the year ended March 31, 2020, in the format specified in the applicable Accounting Standards.
15 July 2020	The above documents may also be accessed on the website of the Company at www.spandaindia.com .
15 July 2020	Statement of Investor complaints for the quarter ended June 30, 2020: No of Investor complaints pending at the beginning of the quarter: 0 No of Investor complaints received during the quarter: 0 No of Investor complaints disposed of during the quarter: 0 No of Investor complaints unresolved at the end of the quarter: 0
15 July 2020	Pursuant to Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018, please find enclosed herewith the Certificate received from M/s. KFin Technologies Private Limited, Registrar and Transfer Agent, for the quarter ended June 30, 2020.
17 July 2020	Pursuant to the provisions of Section 110 of the Companies Act, 2013 read with the applicable Rules the Company through Postal Ballot Notice dated 02.06.2020 has conducted the process of Postal Ballot for seeking the approval of the shareholders of the Co, by way of Special Resolution, to approve the issue of NCDs on private placement basis. The resolution has been approved by the shareholder of Company with requisite majority. Resolution is deemed to have been passed on the last date of voting i.e. July 17, 2020.
18 July 2020	As per Regulation 44(3) of the SEBI (LODR) Regulations, 2015 we are enclosing following documents: a) Copy of the Report of the Scrutinizer dated 17.07.2020; b) Voting Result of Postal Ballot (including e-voting) Further, the above intimation may also be treated as disclosure under Regulation 30 of the SEBI (LODR) Regulations, 2015. The aforesaid documents may also be accessed on the website of Company at www.spandaindia.com .
18 July 2020	Spandana Sphoorty Financial Ltd has informed BSE regarding the details of Voting results of Postal Ballot, under Regulation 44(3) of SEBI (LODR) Regulations, 2015
21 August 2020	Spandana Sphoorty Financial Ltd has informed BSE that the meeting of the Board of Directors of the Company is scheduled on 31/08/2020, inter-alia, to consider and approve Notice is hereby given pursuant to Regulation 29 and Regulation 50(3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, that a meeting of the Board of Directors of Spandana Sphoorty Financial Limited (the Company) is scheduled to be held on Monday, August 31, 2020 through video conferencing, inter-alia to transact the following matters: 1. The Unaudited Standalone and Consolidated Financial Results (subjected to limited review by the Auditors) for the quarter ended June 30, 2020; 2. To consider the proposal for amending and altering certain terms of the NCD subject to compliance with the applicable law and receipt of necessary approvals; 3. Any other matter with the permission of the Chair, if any.
25 August 2020	Pursuant to Regulation 47 of the SEBI (LODR) Regulations, 2015, please find enclosed herewith the copy of newspaper advertisement published in 'Financial Express' (in English language) and 'Nava Telangana' (in Telugu language) on August 25, 2020 with regard to intimation of the Meeting of the Board of Directors of the Company scheduled to be held on Monday, August 31, 2020.
31 August 2020	Pursuant to the applicable provisions of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, the Board of Directors at its meeting held on Monday, August 31, 2020 through Audio-Visual Electronic Communication has, inter-alia: i. Considered and approved Unaudited Standalone and Consolidated Financial Results of the Company for the quarter ended June 30, 2020. ii. Appointed Mr. Ramesh Periasamy (A26247) as the Company Secretary, KMP and Compliance Officer of the Company w.e.f. August 29, 2020. The Board welcomed Mr. Ramesh Periasamy as the Company Secretary and Compliance Officer. A brief profile of Mr. Ramesh Periasamy is enclosed as Annexure I. iii. Approved the roll over with change in the terms of the Non-Convertible Debentures issued under ISIN: INE572J07034 as detailed below: Terms Existing Current Amount 100 Crore 85 Crore Tenure 36 Months 33 Months 12 days Coupon Rate 14% 12%
31 August 2020	Nomination and Remuneration Committee of the Board of Directors of the Company has granted 28,000 stock options (which would get converted into 28,000 equity shares of the Company upon exercise) on August 31, 2020 to the eligible employee of the Company under Spandana Employee Stock Option Plan 2018 and Spandana Employee Stock Option Scheme 2018. The brief details of the stock options granted are listed as Annexure-1.
01 September 2020	Pursuant to Regulation 30 read with Schedule III of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we have enclosed herewith a copy of Investor Presentation of the Company on the Unaudited Financial Results for the quarter ended June 30, 2020. The report may also be accessed on the website of the Company at www.spandaindia.com
01 September 2020	The Board of Directors at its meeting held on Monday, August 31, 2020 through Audio-Visual Electronic Communication has, inter-alia: i. Considered and approved Unaudited Standalone and Consolidated Financial Results of the Company for the quarter ended June 30, 2020. ii. Appointed Mr. Ramesh Periasamy (A26247) as the Company Secretary, KMP and Compliance Officer of the Company w.e.f. August 29, 2020 iii. Approved the roll over with change in the terms of the Non-Convertible Debentures issued under ISIN: INE572J07034 as detailed below: Terms Existing Current Amount 100 Crore 85 Crore Tenure 36 Months 33 Months 12 days Coupon Rate 14% 12%
02 September 2020	Pursuant to Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby enclose the newspaper publication on the Unaudited Financial Results of the Company for quarter ended June 30, 2020 published in the following newspapers: 1. Financial Express (English)-all editions on September 02, 2020; and 2. Nava Telanga (Vernacular Language-Telugu) -Hyderabad edition on September 02, 2020.
02 September 2020	Spandana Sphoorty Financial Ltd has informed BSE that the meeting of the Board of Directors of the Company is scheduled on 05/09/2020, inter-alia, to consider and approve Notice is hereby given pursuant to Regulation 29 and Regulation 50(3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, that a meeting of the Management Committee of the Board of Directors of Spandana Sphoorty Financial Limited is scheduled to be held on Saturday, September 05, 2020, inter-alia to consider and approve, the issue and offer of Non-Convertible Debentures on a private placement basis.
06 September 2020	Management Committee of the Board of Directors of the Company at their meeting held on Saturday, September 05, 2020 has pursuant to the authority entrusted by the Board of Directors and within the limits as approved by the Members of the Company vide special resolution passed through postal ballot on July 17, 2020, inter-alia approved the issuance of 250 (Two Hundred and Fifty) rated, listed, secured, senior, redeemable, transferable, Non-Convertible Debentures ("NCDs") having a face value of Rs. 10,00,000 (Rupees Ten lakh only) each, aggregating upto an amount of Rs. 25 Crore (Rupees Twenty Five Crore only) on a Private Placement basis, in accordance with the provisions of Sections 42 and 71 of the Companies Act, 2013.
07 September 2020	Pursuant to Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby enclose the newspaper publication for convening the Seventeenth AGM of the Company through VC/ OAVM published in the following newspapers: 1. Financial Express (English) - all editions on September 04, 2020; and 2. Nava Telanga (Vernacular Language-Telugu) -Hyderabad edition on September 04, 2020.
07 September 2020	In compliance with the Securities Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby enclose the Notice convening the 17th AGM of shareholders and the Annual Report for the financial year 2019-20 which will be circulated to shareholders through electronic mode. The 17th AGM will be held on Wednesday, September 30, 2020 at 3.00 p.m. through Video Conferencing (VC)/ Other Audio Visual Means (OAVM). The Notice and Annual Report will be made available on the Company's website at www.spandaindia.com .
07 September 2020	The Company has fixed Wednesday, September 23, 2020 as the cut-off date for the purpose of determination of eligibility of shareholders to exercise the remote e-voting on the resolutions being placed before the 17th AGM of the shareholders. The remote e-voting period commences on Sunday, September 27, 2020 (9.00 a.m. IST) and ends on Tuesday, September 29, 2020 (5.00 p.m. IST). The E-voting website of NSDL is https://www.evoting.nsdl.com

08 September 2020	In continuation of our letter dated September 7, 2020 and pursuant to Regulation 30, Regulation 44 and Regulation 47 of the Securities and Exchange Board of India (Listing and Disclosure Requirements) Regulations, 2015, the Company had issued a public notice in compliance with the provisions of Section 108 of the Companies Act, 2013 read with the Rule 20 of the Companies (Management and Administration) Rules, 2014 as amended, through an advertisement (copies of e-paper advertisements are enclosed) is published on September 8, 2020 in Financial Express (English), all editions and Nava Telangana (Telugu), Hyderabad edition in respect of completion of dispatch of Annual Report for the financial year 2019-20 and Notice of the AGM of the Company scheduled to be held on Wednesday, September 30, 2020 at 3.00 p.m. through VCF/ OAVM (including e-voting instructions).
08 September 2020	Pursuant to Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, Management Committee of the Board of Directors of the Company at its meeting on September 8, 2020 has approved the allotment of 250 (Two Hundred and Fifty) 14% (Rated, Listed, Secured, Senior, Redeemable, Transferable, Non-Convertible Debentures of the face value of Rs. 10,00,000/- (Indian Rupees Ten Lakh only) each, aggregating to Rs.25,00,00,000/- (Indian Rupees Twenty Five Crore only) fully paid, at par, to InCred Capital Financial Limited (the 'Allottee'), on a private placement basis. The details as required under the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Circular No. CIR/CFD/ CMD/4/2015 dated September 09, 2015.
17 September 2020	Spandana Sphoorty Financial Ltd has informed BSE that the meeting of the Board of Directors of the Company is scheduled on 24/09/2020, inter alia, to consider and approve Pursuant to Regulation 29 and Regulation 50(3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, that a meeting of the Management Committee of the Board of Directors of Spandana Sphoorty Financial Limited is scheduled to be held on Wednesday, September 23, 2020, inter-alia to consider and approve, the issue and offer of Non-Convertible Debentures on a private placement basis.
22 September 2020	This is to inform you that pursuant to the provisions of Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, the Management Committee of the Board of Directors of the Company at their meeting held on Tuesday, September 22, 2020 has pursuant to the authority entrusted by the Board of Directors and within the limits as approved by the Members of the Company vide special resolution passed through postal ballot on July 17, 2020, inter-alia approved the issuance of 450 (Four Hundred and Fifty) rated, listed, secured, senior, redeemable, transferable, Non-Convertible Debentures ("NCDs") having a face value of Rs.10,00,000 (Rupees Ten lakh only) each, aggregating upto an amount of Rs.45 Crore (Rupees Forty Five Crore only) on a Private Placement basis, in accordance with the provisions of Sections 42 and 71 of the Companies Act, 2013. The meeting started at 12.30 p.m. and concluded at 12.50 p.m.
22 September 2020	We wish to inform you that Mr. Darius Dinshaw Pandole (DIN: 00727320), a Non-Executive Director of the Company, nominee of JM Financial Products Limited, has resigned as a Director of the Company due to his other commitments. The resignation is effective from September 21, 2020. We also wish to inform you that as per the terms of original investment of JM Financial Products Limited in the Company, the eligibility to nominate a Director on the Company's Board has expired. The Board of the Directors has noted the above resignation and placed on record its sincere appreciation for Mr. Darius's valuable services, guidance and contribution to the Company during his tenure as a member of the Board and its Committees.
23 September 2020	Spandana Sphoorty Financial Ltd has informed BSE that the meeting of the Board of Directors of the Company is scheduled on 26/09/2020, inter alia, to consider and approve Pursuant to Regulation 29 and Regulation 50(3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we wish to inform you that a meeting of the Management Committee of the Board of Directors of Spandana Sphoorty Financial Limited is scheduled to be held on Saturday, September 26, 2020, inter-alia to consider and approve, the issue and offer of Non-Convertible Debentures on a private placement basis.
24 September 2020	Pursuant to Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, Management Committee of the Board of Directors of the Company at its meeting on September 8, 2020 has approved the allotment of 400 (Four Hundred) 13.25% (Rated, Listed, Secured, Senior, Redeemable, Transferable, Non-Convertible Debentures, having the face value of Rs. 10,00,000/- (Indian Rupees Ten Lakh only) each, aggregating to Rs.40,00,00,000/- (Indian Rupees Forty Crore only) fully paid, at par, to the following applicants on a private placement basis. 1. InCred Capital Financial Services Limited 2. InCred Financial Services Limited 3. Neelammegha Investments and Trading Company Private Limited 4. FDC Limited
26 September 2020	Pursuant to the provisions of Regulation 30 of the SEBI LODR, 2015, the Management Committee of the Board of Directors of the Company at their meeting held on Saturday, September 26, 2020 has, pursuant to the authority entrusted by the Board of Directors and within the limits as approved by the Members of the Company vide Special Resolution passed through Postal Ballot on July 17, 2020, inter-alia approved the issuance of up to 250 (Two Hundred and Fifty) Rated, Listed, Secured, Senior, Redeemable, Transferable, Non-Convertible Debentures ("NCDs") having a face value of Rs. 10,00,000/- (Rupees Ten lakh only) each, aggregating up to an amount of Rs.25 Crore (Rupees Twenty-five Crore only) on a Private Placement basis, in accordance with the provisions of Sections 42 and 71 of the Companies Act, 2013. The meeting started at 1.00 p.m. and concluded at 1.30 p.m.
29 September 2020	In furtherance to our letter ref no. Ref: SSFL/Stock Exchange/2020-21/67 dated September 26, 2020, we wish to inform you that pursuant to Regulation 30 of the Listing Regulations, the Management Committee of the Board of Directors of the Company at its meeting today, i.e., on September 29, 2020 has approved and allotted 250 (Two Hundred and Fifty) 12.75% (Secured, Rated, Listed, Taxable, Transferable Redeemable Non-Convertible Debentures ("NCDs"), having the face value of Rs. 10,00,000/- (Indian Rupees Ten Lakh only) each, aggregating to Rs.25,00,00,000/- (Indian Rupees Twenty Five Crore only) fully paid, at par, to the Vivriti Capital Private Limited (the applicant) on a private placement basis.
30 September 2020	Pursuant to Regulation 30 read with Schedule III -Part A -Para A of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed proceedings of the 17th Annual General Meeting of the Company
02 October 2020	In furtherance to our letter dated September 30, 2020, please find attached herewith voting results of Remote E-voting and E-voting conducted as per the Notice of the 17th Annual General Meeting of the Company held on September 30, 2020 and we have also enclosed the Consolidated Scrutinizer's Report dated September 30, 2020, issued by the Scrutinizer Mr. Y. Ravi Prasada Reddy, Practicing Company Secretary (Membership No. FCS 5783), Hyderabad pursuant to Section 108 of the Companies Act, 2013 and Rule 20(4)(vi) of the Companies (Management and Administration), Rules 2014. All resolutions were passed with requisite majority. This report is filed as per the Regulations 44 and 30 of the Listing Regulations
03 October 2020	Spandana Sphoorty Financial Ltd has informed BSE regarding the details of Voting results of AGM, under Regulation 44(3) of SEBI (LODR) Regulations, 2015
05 October 2020	Pursuant to Regulation 29 and Regulation 50(3) of SEBI Listing Regulations, in respect of the meeting of the Management Committee of the Board of Directors of Spandana Sphoorty Financial Limited is scheduled to be held on Wednesday, October 21, 2020, inter-alia to consider and approve the roll over with the proposed amendment(s) and alteration(s) of certain terms and conditions in relation to the 325 (Three Hundred and Twenty Five) Non-Convertible Debentures ("NCDs") aggregating to Rs. 32,50,00,000/- (Rupees Thirty Two Crore and Fifty Lakhs only) issued by the Company under IN SEI572J07067
14 November 2020	Company has informed the Exchange about Copy of Newspaper Publication of Unaudited Consolidated Financial Results for the quarter and half-year ended September 30, 2020
16 November 2020	Pursuant to the provisions of Regulation 30 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we have enclosed herewith the investors' presentation on the financial and operational performance of the Company for quarter and half-year ended September 30, 2020. This presentation will also be available on the website of the Company at www.spandanaindia.com .
16 November 2020	Updated Investors Presentation - Q2/H1 FY2021 Pursuant to the provisions of Regulation 30 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we have enclosed herewith the investors' presentation on the financial and operational performance of the Company for quarter and half-year ended September 30, 2020. This presentation is also available on the website of the Company at www.spandanaindia.com .
17 November 2020	In furtherance to our letter SSFL/Stock Exchange/2020-21/88 dated November 11, 2020, we wish to inform you that pursuant to Regulation 30 of the Listing Regulations, the Management Committee of the Board of Directors of the Company at its meeting held today i.e., November 17, 2020 has approved and allotted 7500 (Seven Thousand and Five Hundred) 10 Year G-Sec Market Linked Secured Listed Rated Senior Redeemable Non-Convertible Debentures of face value of Rs. 1,00,000/- (Rupees One Lakh only) each, at par, on a private placement basis to JM Financial Products Limited (the 'Allottee'), in demat form, in consideration of an aggregate amount of Rs.75,00,00,000/- (Rupees Seventy Five Crore only) issued under the Supplemental Information Memorandum and Form PAS-4 dated November 17, 2020.
19 November 2020	In furtherance to our letter SSFL/Stock Exchange/2020-21/88 dated November 11, 2020, we wish to inform you that pursuant to Regulation 30 of the Listing Regulations, the Management Committee of the Board of Directors of the Company at its meeting held today i.e., November 19, 2020 has approved and allotted 1400 (One Thousand Four Hundred) Secured Listed Rated Senior Redeemable Non-Convertible Debentures of face value of Rs.10,00,000/- (Rupees Ten Lakh only) each, at par, on a private placement basis to Bank of Baroda (the 'Allottee'), in demat form, in consideration of an aggregate amount of Rs. 140,00,00,000/- (Rupees One Hundred & Forty Crore only) issued under the Supplemental Information Memorandum and Form PAS-4 dated November 13, 2020.
22 November 2020	Spandana Sphoorty Financial Ltd has informed BSE that the meeting of the Board of Directors of the Company is scheduled on 25/11/2020, inter alia, to consider and approve Pursuant to Regulation 29 and Regulation 50(3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we wish to inform you that a meeting of the Management Committee of the Board of Directors of Spandana Sphoorty Financial Limited is scheduled to be held on Wednesday, November 25, 2020, inter-alia to consider and approve, the issue and offer of Non-Convertible Debentures on a private placement basis.
24 November 2020	Company has informed the Exchange regarding allotment of 10000 Non-Convertible Debentures on a private placement basis.
25 November 2020	The Management Committee of the Board of Directors of the Company at their meeting held on Wednesday, November 25, 2020 has, pursuant to the authority entrusted by the resolution of Board of Directors dated June 14, 2018 and within the limits as approved by the Members of the Company vide Special Resolution passed through Postal Ballot on July 17, 2020 on Private Placement basis, in accordance with the provisions of Sections 42 and 71 of the Companies Act, 2013 and the applicable provisions of Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008 (SEBI ILDS Regulations) inter-alia approved the issuance of upto 600 (Six Hundred) number of Rupee Denominated, Rated, Listed, Senior, Secured, Transferable, Taxable, Redeemable, Non-Convertible Debentures of face value Rs. 10,00,000/- (Rupees Ten Lakh only) each, aggregating upto Rs. 60,00,00,000/- (Rupees Sixty Crore).
26 November 2020	Pursuant to Regulation 23(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed the disclosure on Related Party Transactions on a consolidated basis for the half year ended September 30, 2020, in the format specified in the applicable Accounting Standards
27 November 2020	Company has informed the Exchange regarding allotment of 500 securities pursuant to Non Convertible Securities at its meeting held on November 27, 2020
10 December 2020	Spandana Sphoorty Financial Ltd has informed BSE that the meeting of the Board of Directors of the Company is scheduled on 15/12/2020, inter alia, to consider and approve Disclosure under Regulation 29 and 50(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 to consider Fund Raising
15 December 2020	Outcome of the Management Committee of the Board of Directors Meeting held on December 15, 2020.
16 December 2020	Company has informed the Exchange regarding allotment of 6360 securities pursuant to Non Convertible Securities at its meeting held on December 16, 2020
17 December 2020	Spandana Sphoorty Financial Ltd has informed BSE that the meeting of the Board of Directors of the Company is scheduled on 22/12/2020, inter alia, to consider and approve Disclosure under Regulation 29 and 50(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015
22 December 2020	Management Committee of the Board of Directors of the Company at their meeting held on Wednesday, December 22, 2020 has approved by the Members of the Company vide Special Resolution passed through Postal Ballot on July 17, 2020 on Private Placement basis, in accordance with the provisions of Sections 42 and 71 of the Companies Act, 2013 and the applicable provisions of SEBI ILDS Regulations inter-alia approved the following : a) issuance of up to 215 Secured, Rated, Listed, Redeemable, Transferable, NCDs having face value of Rs. 10,00,000/- each, aggregating up to Rs. 21,50,00,000/- b) issuance of up to 5000 Secured, Rated, Listed, Redeemable, Transferable, Principal Protected Market Linked, NCDs having face value of Rs. 1,00,000/- each, aggregating up to Rs. 50,00,00,000/- with the green shoe option up to 5000 Secured, Rated, Listed, Redeemable, Transferable, Principal Protected Market Linked, NCDs having face value of Rs. 1,00,000/- each, aggregating up to Rs. 50,00,00,000/-
24 December 2020	In furtherance to our letter SSFL/Stock Exchange/2020-21/88 dated November 11, 2020, we wish to inform you that pursuant to Regulation 30 of the Listing Regulations, the Management Committee of the Board of Directors of the Company at its meeting held today i.e., December 24, 2020 has approved and allotted 215 (Two Hundred and Fifteen) Secured, Rated, Listed, Redeemable, Transferable, Non-convertible Debentures of face value of Rs. 10,00,000/- (Rupees Ten Lakhs only) each, at par, on a private placement basis to Microfinance Enhancement Facility SA SICAV SIF (the 'Allottee'), in demat form, in consideration of an aggregate amount of Rs. 21,50,00,000/- (Rupees Twenty One Crores and Fifty Lakhs only) issued under the Information Memorandum and Form PAS-4 dated December 23, 2020.
24 December 2020	Pursuant to Regulation 30(6) read with Schedule III of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we would like to inform you that India Rating & Research Private Limited, Credit Rating Agency has assigned Credit Rating for Company's proposed issuance of debt instruments and Bank Loans.
28 December 2020	Company has informed the Exchange regarding the Trading Window closure pursuant to SEBI (Prohibition of Insider Trading) Regulations, 2015
28 December 2020	Spandana Sphoorty Financial Ltd has informed BSE that the meeting of the Board of Directors of the Company is scheduled on 31/12/2020, inter alia, to consider and approve Disclosure under Regulation 29 and 50(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015
30 December 2020	Pursuant to Regulation 30 of the SEBI Listing Regulations, the Management Committee of the Board of Directors of the Company at its meeting held on December 29, 2020 has approved and allotted 8275 (Eight Thousand Two Seven Hundred Seventy Five) Secured, Rated, Listed, Redeemable, Transferable, Principle Protected, Market (10 Years G-Sec) Linked Non-Convertible Debentures of Rs. 1,00,000/- (Rupees One Lakh Only) each, at par, on a private placement basis to Edelweiss Securities And Investments Private Limited and Edelweiss Finance & Investments Limited (the 'Allottees'), in demat form, in consideration of an aggregate amount of Rs. 82,75,00,000/- (Rupees Eighty Two Crores and Seventy Five Lakhs only) issued under the Shelf Disclosure Document, Supplemental Information Memorandum and Form PAS-4 dated December 29, 2020.
31 December 2020	Management Committee of the Board of Directors of the Company at their meeting held on Thursday, December 31, 2020 has, pursuant to the authority entrusted by the resolution of Board of Directors dated June 14, 2018 and within the limits as approved by the Members of the Company vide SR passed through Postal Ballot on July 17, 2020 on Private Placement basis, in accordance with the provisions of Sections 42 and 71 of the Companies Act, 2013 and the applicable provisions of Securities and Exchange Board of India ILDS Regulations, 2008 inter-alia approved the issuance of up to 2500 Secured, Senior, Rated, Listed, Redeemable, Transferable, Non-convertible Debentures having face value of Rs. 1,00,000/- each, aggregating up to Rs. 25,00,00,000/- with the green shoe option up to 2500 Secured, Senior, Rated, Listed, Redeemable, Transferable, Non-convertible Debentures having face value of Rs. 1,00,000/- each, aggregating up to Rs. 25,00,00,000/-

07 January 2021	In furtherance to our letter SSFL/Stock Exchange/2020-21/115 dated December 31, 2020, we wish to inform you that pursuant to Regulation 30 of the Listing Regulations, the Management Committee of the Board of Directors of the Company at its meeting held today i.e., January 07, 2021 has approved and allotted 4000 (Four Thousand) Secured, Rated, Listed, Redeemable, Transferable, Non-convertible Debentures of face value of Rs. 1,00,000/- (Rupees One Lakh only) each, at par, on a private placement basis to A. K. Services Private Limited and A K Capital Finance Limited (the Allottee), in demat form, in consideration of an aggregate amount of Rs. 40,00,00,000/- (Rupees Forty Crores only) issued under the Information Memorandum and Form PAS-4 dated January 06, 2021.
08 January 2021	Company has informed the Exchange about Certificate under SEBI (Depositories and Participants) Regulations, 2018 Statement of Investor complaints for the quarter ended December 31, 2020:
11 January 2021	No. of Investor complaints pending at the beginning of the quarter: 0 No. of Investor complaints received during the quarter: 0 No. of Investor complaints disposed of during the quarter: 0 No. of Investor complaints unresolved at the end of the quarter: 0
28 January 2021	Spandana Sphoorty Financial Ltd has informed BSE that the meeting of the Board of Directors of the Company is scheduled on 09/02/2021, inter alia, to consider and approve financial results for the period ended December 31, 2020
29 January 2021	Company has informed the Exchange about Copy of Newspaper Publication of Notice for the Meeting of the Board of Directors of the Company scheduled to be held on Tuesday, February 09, 2021.
09 February 2021	Pursuant to the applicable provisions of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (SEBI LODR Regulations), it is hereby informed that the Board of Directors at its meeting held on Tuesday, February 09, 2021 through Audio-Visual Electronic Communication means has, inter-alia Considered and approved Unaudited Standalone and Consolidated Financial Results of the Company for the quarter and nine months ended December 31, 2020. Please find enclosed herewith the Unaudited Standalone and Consolidated Financial Results of the Company for the quarter and nine months ended December 31, 2020 along with the Limited Review Reports of the Statutory Auditors of the Company, S.R. Batilooi & Co. LLP, Chartered Accountants.
09 February 2021	Pursuant to the provisions of Regulation 30 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we have enclosed herewith the Investors' Presentation on the financial and operational performance of the Company for quarter and nine months ended December 31, 2020.
09 February 2021	Pursuant to the provisions of Regulation 30 and other applicable provisions of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (SEBI LODR Regulations), we wish to inform that the Board of Directors of the Company at its meeting held on Tuesday, February 09, 2021 through Audio-Visual Electronic Communication means has, inter-alia considered and approved as per the attachment enclosed.
10 February 2021	Company has informed the Exchange about Copy of Newspaper Publication of Unaudited Consolidated Financial Results for the quarter and nine months ended December 31, 2020
19 February 2021	Spandana Sphoorty Financial Ltd has informed BSE that the meeting of the Board of Directors of the Company is scheduled on 24/02/2021, inter alia, to consider and approve Pursuant to Regulation 29 and Regulation 50(3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we wish to inform you that a meeting of the Management Committee of the Board of Directors of Spandana Sphoorty Financial Limited is scheduled to be held on Wednesday, February 24, 2021, inter-alia to consider and approve, the issue and offer of Non-Convertible Debentures on a private placement basis.
23 February 2021	Company has informed the Exchange regarding Notice of Postal Ballot dated February 23, 2021 seeking approval of the Members for the items as stated in attached Notice.
24 February 2021	Company has informed the Exchange about Copy of Newspaper Publication of Postal Ballot Notice pursuant to Securities and Exchange Board of India (Listing Obligations And Disclosure Requirements) Regulations, 2015
24 February 2021	Company has informed the Exchange regarding Outcome of the Board Meeting held on February 24, 2021.
26 February 2021	Disclosure under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (Listing Regulations) - Allotment of Non-Convertible Debentures on a private placement basis.
02 March 2021	Spandana Sphoorty Financial Ltd has informed BSE that the meeting of the Board of Directors of the Company is scheduled on 05/03/2021, inter alia, to consider and approve Pursuant to Regulation 29 and Regulation 50(3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we wish to inform you that a meeting of the Management Committee of the Board of Directors of Spandana Sphoorty Financial Limited is scheduled to be held on Friday, March 05, 2021, inter-alia to consider and approve, the issue and offer of Non-Convertible Debentures on a private placement basis
05 March 2021	We wish to inform you that pursuant to the provisions of Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, the Management Committee of the Board of Directors of the Company at their meeting held on Friday, March 05, 2021 has, pursuant to the authority entrusted by the resolution of Board of Directors dated June 14, 2018 and within the limits as approved by the Members of the Company vide Special Resolution passed through Postal Ballot on July 17, 2020 on Private Placement basis, in accordance with the provisions of Sections 42 and 71 of the Companies Act, 2013 and the applicable provisions of Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2006 (SEBI ILDS Regulations), inter-alia approved the issuance of Non-convertible Debentures upto Rs. 110,00,00,000/- (Rupees One Hundred and Ten Crores only).
11 March 2021	Pursuant to the provisions of Regulation 30 of the SEBI Listing Regulations, we wish to inform you that the Company has approved the investment of upto 750 (Fifty) Crore in the equity share capital of Criss Financial Holdings Limited (CFHL), a Subsidiary of the Company in one or more tranches.
12 March 2021	Company has informed the Exchange regarding allotment of 350 securities pursuant to Non Convertible Securities at its meeting held on March 12, 2021
16 March 2021	Company has informed the Exchange regarding allotment of 5,000 securities pursuant to Non Convertible Securities at its meeting held on March 16, 2021
25 March 2021	Spandana Sphoorty Financial Ltd has informed BSE that the meeting of the Board of Directors of the Company is scheduled on 31/03/2021, inter alia, to consider and approve Pursuant to Regulation 29 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we wish to inform you that a meeting of the Management Committee of the Board of Directors of Spandana Sphoorty Financial Limited is scheduled to be held on Wednesday, March 31, 2021, inter-alia to consider and approve, the issue and offer of Unlisted Secured Non-Convertible Debentures on a private placement basis.
26 March 2021	This has reference to our letter dated February 23, 2021 enclosing the Notice of Postal Ballot dated February 23, 2021 under Section 110 of the Companies Act, 2013 (Act) seeking approval of the Members of the Company through electronic voting (remote e-voting) for the items as stated in the Notice of Postal Ballot. Results for the Postal Ballot are as per attachment.
04 June 2021	The Exchange has sought clarification from Spandana Sphoorty Financial Ltd on June 4, 2021, with reference to Movement in Volume.
04 June 2021	Reply to clarification sought on Increase in Volume of the shares of the Company submitted
04 June 2021	The Exchange has sought clarification from Spandana Sphoorty Financial Ltd on June 4, 2021, with reference to news appeared in www.moneycontrol.com dated June 4, 2021 quoting "Axis Bank to buy Spandana Sphoorty"
04 June 2021	Reply to clarification sought on news item appearing in "www.moneycontrol.com" submitted
10 June 2021	Board Meeting Intimation for Disclosure Under Regulation 29 And 50(3) Of SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015
15 June 2021	Outcome Of The Management Committee Of The Board Of Directors Meeting Held On June 15, 2021 submitted
17 June 2021	Disclosure under Regulation 30 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (Listing Regulations) - Allotment of Non-Convertible Debentures on a private placement basis.
28 June 2021	Closure of Trading Window submitted
08 July 2021	Statement Of Investor Complaints For The Quarter Ended June 2021 submitted
08 July 2021	Compliances-Certificate under Reg. 74 (5) of SEBI (DP) Regulations, 2018
08 July 2021	Spandana Sphoorty Financial Ltd has informed BSE that the meeting of the Board of Directors of the Company is scheduled on 13/07/2021, inter alia, to consider and approve Disclosure under Regulation 29 and 50(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015
13 July 2021	Outcome of the Management Committee of the Board of Directors Meeting held on July 13, 2021
14 July 2021	Spandana Sphoorty Financial Ltd has informed BSE that the meeting of the Board of Directors of the Company is scheduled on 17/07/2021, inter alia, to consider and approve Disclosure under Regulation 29 and 50(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015
14 July 2021	Intimation of Credit Rating submitted
15 July 2021	Disclosure under Regulation 30 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 - Allotment of Non-Convertible Debentures on a private placement basis
17 July 2021	Outcome of the Management Committee of the Board of Directors Meeting held on July 17, 2021 submitted
22 July 2021	Disclosure under Regulation 30 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015- Allotment of Non-Convertible Debentures on a private placement basis submitted
28 July 2021	In compliance with Regulation 30 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we wish to inform you that India Ratings & Research Private Limited has undertaken the following credit rating to the Company for its various facilities as mentioned in the attachment.
04 August 2021	Disclosure under Regulation 30 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015- Allotment of Non-Convertible Debentures on a private placement basis.
05 August 2021	Spandana Sphoorty Financial Ltd has informed BSE that the meeting of the Board of Directors of the Company is scheduled on 14/08/2021, inter alia, to consider and approve Notice pursuant to Regulation 29(1) of SEBI (LODR) Regulations, 2015 is hereby given that a Meeting of the Board of Directors of the Company is scheduled to be held on Saturday, August 14, 2021, inter-alia to consider and approve Unaudited Standalone and Consolidated Financial Results of the Company for the quarter ended June 30, 2021 subject to limited review by Statutory Auditors of the Company. The Company had intimated vide its letter Ref No. SSFL/Stock Exchange/2021-22/029 dated June 28, 2021, that the Trading Window for dealing in securities of the Company shall remain closed from June 30, 2021 till the expiry of 48 hours post announcement of the Unaudited Financial Results of the Company.
14 August 2021	Pursuant to the exercise of Employee Stock Options (ESOP's) by the eligible employees under the Spandana Employee Stock Option Plan 2018 (ESOP Plan 2018) and Spandana Employee Stock Option Scheme 2018 (ESOP Scheme 2018), the Company has allotted 92,705 Equity Shares of the face value of Rs. 10.00 (Rupees Ten only) each on August 13, 2021.
14 August 2021	We wish to inform you that pursuant to Regulation 30 read with Schedule III of the SEBI (LODR) Regulations, 2015, Nomination and Remuneration Committee of the Board of Directors of the Company at its meeting held on August 14, 2021, Saturday approved the grant of 11,92,500 stock options (which would get converted into 11,92,500 equity shares of the Company upon exercise) to eligible employees of the Company under Spandana Employee Stock Option Plan 2018 and Spandana Employee Stock Option Scheme 2021.
14 August 2021	Outcome of the Board Meeting held on Saturday, August 14, 2021 submitted
15 August 2021	Investors' Presentation - Quarter ended June 30, 2021 submitted
16 August 2021	Newspaper Publication of Unaudited Consolidated Financial Results of the Company for the quarter ended June 30, 2021
06 September 2021	Notice of the Eighteenth (18th) Annual General Meeting (AGM) and Annual Report 2020-21
06 September 2021	Annual Report 2020-21 submitted
07 September 2021	Newspaper Publication of Notice of the Eighteenth (18th) Annual General Meeting (AGM) of the Company through Video Conferencing (VC)/Other Audio Visual Means (OAVM).
24 September 2021	Closure of the Trading Window for the quarter and half-year ended September 30, 2021.
28 September 2021	Company hereby informs the Exchange regarding Proceedings of Annual General Meeting held on September 28, 2021
28 September 2021	We hereby submit to the Exchange a copy of Scrutinizers report and voting results of 18th Annual General Meeting held on September 28, 2021.
28 September 2021	Spandana Sphoorty Financial Ltd has informed BSE regarding the details of Voting results of AGM, under Regulation 44(3) of SEBI (LODR) Regulations, 2015
01 October 2021	Announcement under Regulation 30 (LODR)-Resignation of Chief Financial Officer (CFO)
01 October 2021	Announcement Under Regulation 30 (LODR)-Resignation Of Chief Strategy Officer (CSO)
08 October 2021	Submission of Certificate under Regulation 74(5) of SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended September 30, 2021.
12 October 2021	Statement Of Investor Complaints For The Quarter Ended September 2021 submitted
02 November 2021	Announcement under Regulation 30 (LODR)-Resignation of Managing Director
02 November 2021	Spandana Sphoorty Announces Leadership Change
03 November 2021	Disclosure Under Regulation 30 Of The Securities And Exchange Board Of India (Listing Obligations & Disclosure Requirements) Regulations, 2015 (SEBI LODR) - Grant Of ESOP
12 November 2021	Company has informed the Exchange about reasons for Delayed Non-submission of Financial Results.

22 November 2021	Intimation under Regulation 30 of the Securities and Exchange Board of India (Listing Obligations & Disclosure Requirements) Regulations, 2015 (SEBI LODR) - Outcome of Board Meeting
22 November 2021	Spandana Sphoorty Announces Shalabh Saxena as the MD and CEO, and also Announces Other Key Business Updates
29 November 2021	Company has informed the Exchange regarding Outcome of Board Meeting held on November 29, 2021.
25 December 2021	Update on website address and contact details of Spandana Sphoorty Financial Limited pursuant to Regulation 30 SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015
29 December 2021	Spandana Sphoorty Financial Ltd has informed BSE that the meeting of the Board of Directors of the Company is scheduled on 05/01/2022 inter-ala, to consider and approve Notice of Board Meeting pursuant to Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 to consider and approve the financial results for the period ended September 30, 2021
04 January 2022	Closure of the Trading Window for the quarter ended December 31, 2021.
05 January 2022	Outcome of the Board Meeting of the Company held on Wednesday, January 05, 2022
05 January 2022	Spandana Sphoorty Financial Ltd has informed BSE that the meeting of the Board of Directors of the Company is scheduled on 10/01/2022 inter-ala, to consider and approve Notice of the Board Meeting to be held on January 10, 2022 inter-ala, to consider the proposal to raise funds by way of further issue of securities through public and/or private offerings including qualified institutions placement or other permissible mode under the applicable laws and/or any combination thereof, in one or more tranches, subject to approval of the shareholders, regulatory and/or statutory authorities, as applicable.
06 January 2022	Investors' Presentation - Quarter and half-year ended September 30, 2021
06 January 2022	Newspaper Publication of unaudited consolidated financial results of the Company for the quarter and half-year ended September 30, 2021.
10 January 2022	We wish to inform you that the Board of Directors at their meeting held today, i.e. on January 10, 2022, discussed and approved the proposal of raising of funds by way of further issue of securities through private offerings and/or qualified institutions placement or other permissible mode under the applicable laws and/or any combination thereof, in one or more tranches, for an aggregate amount not exceeding INR 300 Crores, subject to applicable laws, and necessary Members of the Company/Regulatory approvals, as applicable.
11 January 2022	Submission of Certificate under Regulation 74(5) of SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended December 31, 2021.
11 January 2022	Notice under Section 110 of the Companies Act, 2013 to Members
12 January 2022	In furtherance to our letter no. SSFL/Stock Exchange/2021-22/076 dated January 11, 2022 and pursuant to Regulation 47 of SEBI LODR, we have enclosed herewith the copies of newspaper publication for postal ballot notice and e-voting information in Financial Express and Nava Telangana on Wednesday, January 12, 2022.
12 January 2022	Statement Of Investor Complaints For The Quarter Ended December 2021
29 January 2022	Disclosure under Regulation 30 of the Securities and Exchange Board of India (Listing Obligations and Disclosures Requirements) Regulations, 2015 (LODR Regulations) Intimation of change of statutory auditors of Spandana Sphoorty Financial Limited (Company) in order to remain compliant with the Reserve Bank of India's notification dated April 27, 2021 on guidelines for appointment of auditors.
03 February 2022	The Exchange has sought clarification from Spandana Sphoorty Financial Ltd on February 3, 2022, with reference to Movement in Volume.
03 February 2022	We wish to clarify that all the material information/announcement that may have bearing on the operations/performance of the Company which include all the necessary disclosures in accordance with regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (Listing Regulations) have always been disclosed by the Company within stipulated time to the stock exchanges. The Company will make disclosures as per the Listing Regulations whenever it is required to do so.
04 February 2022	Notice under Section 110 of the Companies Act, 2013 to Members.
05 February 2022	Company has informed the Exchange about Copy of Newspaper Publication for Postal Ballot Notice and E-Voting Information
07 February 2022	Spandana Sphoorty Financial Ltd has informed BSE that the meeting of the Board of Directors of the Company is scheduled on 14/02/2022 inter-ala, to consider and approve Notice is hereby given, pursuant to Regulation 29(1) of SEBI LODR Regulations, that a Meeting of the Board of Directors of the Company is scheduled to be held on Monday, February 14, 2022, inter-ala to consider and approve the unaudited standalone and consolidated financial results of the Company for the quarter ended December 31, 2021 subject to limited review by the Statutory Auditors of the Company.
09 February 2022	Spandana Sphoorty Financial Ltd has informed BSE that the meeting of the Board of Directors of the Company is scheduled on 14/02/2022 inter-ala, to consider and approve The Board of Directors of Spandana Sphoorty Financial Limited (the Company) at its meeting scheduled to be held on Monday, February 14, 2022, inter-ala, will consider the following proposals: 1. To consider and approve issuance of further equity shares of the Company by way of preferential issue in accordance with the provisions of Companies Act, 2013, SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2018 and such other acts, rules and regulations as may be applicable, subject to approval of the shareholders of the Company, any regulatory/statutory approvals, if any, as applicable. 2. To consider and approve the issuance of a postal ballot notice pursuant to Section 110 of the Companies Act, 2013, seeking approval of Members of the Company for the abovementioned matter.
10 February 2022	Company has submitted voting results along with copy Scrutinizers report of Postal Ballot
11 February 2022	Spandana Sphoorty Financial Ltd has informed BSE regarding the details of Voting results of Postal Ballot, under Regulation 44(3) of SEBI (LODR) Regulations, 2015.
14 February 2022	Pursuant to the applicable provisions of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (SEBI LODR Regulations), we wish to inform that the Board of Directors at their meeting held on Monday, February 14, 2022, through Audio-Visual Electronic Communication means has inter-ala considered and approved the items as per attachment.
14 February 2022	Disclosure under Regulation 54(2)&(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015
14 February 2022	Notice under Section 110 of the Companies Act, 2013 to Members.
15 February 2022	Pursuant to the provisions of Regulation 30 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we have enclosed herewith the Investors' Presentation on the financial and operational performance of the Company for quarter and nine months ended December 31, 2021.
15 February 2022	Company has informed the Exchange about Copy of Newspaper Publication of unaudited consolidated financial results of the Company for the quarter and nine months ended December 31, 2021
15 February 2022	Company has informed the Exchange about Copy of Newspaper Publication for postal ballot notice and e-voting information
24 February 2022	Company has informed the Exchange about Newspaper publication of Corrigendum to Postal Ballot Notice dated February 14, 2022

Notes:

The Company meets Investors/ Analysts/ Participants from time to time. Please refer to the website of the Stock Exchanges for the intimation of the schedule of such meetings and related details, as applicable.

For further updates and information, please refer to the website of the Stock Exchanges i.e. www.bseindia.com and / or www.nseindia.com

Disclaimer:

The information compiled herein is in accordance with the disclosure requirements with regard to the track record of the public issues managed by JM Financial Limited ("JM Financial") arising out of the SEBI Circular No. CIR/MIRSD/1/2012 dated January 10, 2012. This information is gathered from the Prospectus of the Issuer, as amended, and from the filings made by the Issuer with the BSE Limited ("BSE") and / or the National Stock Exchange of India Limited ("NSE") and together with the BSE, the "Stock Exchanges" from time to time, price-volume data available on the website of the Stock Exchanges, other sources as disclosed herein and information / clarifications provided by the Issuer.

While reasonable care has been taken to ensure that the information provided herein is accurate and is taken from the sources that we believe are reliable, the user of this information may independently verify the accuracy of the information before taking any decision based on the above information.

Notwithstanding the above, JM Financial does not make any express or implied representation or warranty as to the authenticity, accuracy or completeness of the information or data contained herein and shall not be liable in any manner for the same. Neither JM Financial nor any of its affiliates or their directors, officers and employees will be responsible or be liable for any loss or damage including any loss of profits incidental or consequential damage, howsoever arising, suffered or incurred by any person accessing and / or using this information. The person accessing and utilizing the information is accordingly once again advised to independently verify the information and satisfy himself about the adequacy, accuracy and completeness for his specific requirement.