

JM Financial Institutional Securities Limited

Investor Complaints Data – Stock Broking

Data for the month ended February 28, 2023

S. N.	Received from	Carried forward from previous Month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1.	Directly from Investors	0	0	0	0	0		NA
2.	SEBI (SCORES)	0	0	0	0	0		NA
3.	Stock Exchanges	0	0	0	0	0		NA
4.	Other Sources (if any)	0	0	0	0	0		NA
	Grand Total	0	0	0	0	0		NA

* Includes complaints of previous months resolved in the current month, if any.

** Includes total complaints pending as on the last day of the month, if any.

^ Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

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Trend of monthly disposal of complaints

S. N.	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1.	April 2022	0	0	0	0
2.	May 2022	0	0	0	0
3.	June 2022	0	0	0	0
4.	July 2022	0	0	0	0
5.	August 2022	0	0	0	0
6.	September 2022	0	0	0	0
7.	October 2022	0	0	0	0
8.	November 2022	0	0	0	0
9.	December 2022	0	0	0	0
10.	January 2023	0	0	0	0
11.	February 2023	0	0	0	0
	Grand Total	0	0	0	0

* Includes complaints of previous months resolved in the current month, if any.

** Includes total complaints pending as on the last day of the month, if any.

Trend of annual disposal of complaints

S. N.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1.	2017-18	0	0	0	0
2.	2018-19	0	0	0	0
3.	2019-20	0	0	0	0
4.	2020-21	0	0	0	0
5.	2021-22	0	0	0	0
	Grand Total	0	0	0	0