

JM Financial Limited

Investor Complaints Data - Collective Data

For IPOs & FPOs (including OFS), Rights Issues, QIPs, Preferential Issues, SME IPOs & FPO (including OFS), Buyback of Securities, Delisting of Equity Shares and Substantial Acquisition of Shares & Takeovers

Data for the month of October 2022

Sr. No.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	1	3	3	1	-	8
2	SEBI (SCORES)	1	5	6	-	-	6
3	Stock Exchanges (if relevant)	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
5	Grand Total	2	8	9	1	-	7

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints (For 5 months on rolling basis)

Sr. No.	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	June 2022	2	6	7	1
2	July 2022	1	5	3	3
3	August 2022	3	1	4	-
4	September 2022	-	6	4	2
5	October 2022	2	8	9	1
	Grand Total	8	26	27	7

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

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Trend of annual (Calendar Year) disposal of complaints (For 5 years on rolling basis) *

Sr. No.	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2022	-	-	-	-
2	2023	-	-	-	-
3	2024	-	-	-	-
4	2025	-	-	-	-
5	2026	-	-	-	-
	Grand Total	-	-	-	-

* Data shall be updated after the completion of respective calendar year.