

October 14, 2020

BSE Limited  
Department of Corporate Services  
1<sup>st</sup> Floor, New Trading Ring  
Rotunda Building, P J Towers  
Dalal Street, Fort  
Mumbai – 400 001

Dear Sirs,

**Re:** Submission of Compliance Certificate under Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

**Company Code:** 11639 / JM Financial Capital Limited (the Company).

As required under Regulation 7(2) read with Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby confirm that for the half year ended September 30, 2020, all activities in relation to the Company's electronic transfer facility of Non-Convertible Debentures were maintained by NSDL Database Management Limited (Category I Registrar and Transfer Agent).

Further, please find enclosed compliance certificate from NSDL Database Management Limited for the half year ended September 30, 2020, confirming that all activities in relation to the Company's electronic transfer facility of Non-Convertible Debentures were maintained by them.

We request you to kindly acknowledge the receipt.

Thank you.

Yours faithfully,  
for JM Financial Capital Limited

  
**Sunny Parikh**  
Company Secretary

Encl.: As above

# NSDL Database Management Limited



October 14, 2020

**The Secretary**

**BSE Limited**

P J Towers

Dalal Street

Mumbai - 400 001

**Subject: Compliance Certificate pursuant to regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the Half year ended September 30, 2020.**

Dear Sir(s),

JM Financial Capital Limited has appointed NSDL Database Management Limited, having SEBI Registration No. INR000004181 as Registrar & Share Transfer Agents for handling electronic connectivity of Debentures

Pursuant to regulation 7(3) SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, all the activities in relation to the electronic facilities of NCDs for JM Financial Capital Limited are maintained by NSDL Database Management Limited.

There are no Physical NCDs issued and outstanding for JM Financial Capital Limited.

Request you to kindly take the same on your record.

Yours faithfully,

**For NSDL Database Management Limited**

**Vijay Gupta**

**Sr. Vice President**

Signature valid

Digitally signed  
by Vijay Gupta  
Date: 2020.10.14  
11:23:40 +05:30