

JM Financial Institutional Securities Limited

Investor Complaints Data – Stock Broking

Data for the month ended June 30, 2026

| S. N. | Received from | Carried forward from previous month | Received during the month | Total Pending | Resolved* | Pending at the end of the month** | | Average Resolution time^ (in days) |
|----------|-------------------------|-------------------------------------|---------------------------|---------------|-----------|-----------------------------------|--------------------------------|------------------------------------|
| | | | | | | Pending for less than 3 months | Pending for more than 3 months | |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | | 8 |
| 1. | Directly from Investors | 0 | 0 | 0 | 0 | 0 | | NA |
| 2. | SEBI (SCORES 2.0) | 0 | 0 | 0 | 0 | 0 | | NA |
| 3. | Stock Exchanges | 0 | 0 | 0 | 0 | 0 | | NA |
| 4. | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | | NA |
| | Grand Total | 0 | 0 | 0 | 0 | 0 | | NA |

* Includes complaints of previous months resolved in the current month, if any.

** Includes total complaints pending as on the last day of the month, if any.

^ Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

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Trend of monthly disposal of complaints

| S. N. | Month | Carried forward from previous month | Received | Resolved* | Pending** |
|----------|--------------------|-------------------------------------|----------------------|----------------------|-----------|
| 1 | 2 | 3 | 4 | 5 | 6 |
| 1. | April 2026 | 0 | 0 | 0 | 0 |
| 2. | May 2026 | 0 | 1 [#] | 1 [#] | 0 |
| 3. | June 2026 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 1[#] | 1[#] | 0 |

* Includes complaints of previous months resolved in the current month, if any.

** Includes total complaints pending as on the last day of the month, if any.

[#] The said complaint did not belong to investor of JM Financial Institutional Securities Limited.

Trend of annual disposal of complaints

| S. N. | Year | Carried forward from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|-------|--------------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1. | 2021-22 | 0 | 0 | 0 | 0 |
| 2. | 2022-23 | 0 | 0 | 0 | 0 |
| 3. | 2023-24 | 0 | 0 | 0 | 0 |
| 4. | 2024-25 | 0 | 0 | 0 | 0 |
| 5. | 2025-26 | 0 | 1 [#] | 1 [#] | 0 |
| | Grand Total | 0 | 1[#] | 1[#] | 0 |

[#] The said complaint did not belong to investor of JM Financial Institutional Securities Limited.